

# Anomalous Heat Generation in Charging of Pd Powders with High Density Hydrogen Isotopes (II) Discussions on Experimental Results and Underlying Physics

A.Takahashi<sup>1\*</sup>, A. Kitamura<sup>2</sup>, Y. Sasaki<sup>2</sup>,  
Y. Miyoshi<sup>2</sup>, T. Nohmi<sup>2</sup>, A. Taniike<sup>2</sup>,  
R. Seto<sup>1</sup>, and Y. Fujita<sup>1</sup>

<sup>1</sup>Technova Inc. \*akito@sutv.zaq.ne.jp

<sup>2</sup>(Division of Marine Engineering, Graduate School of Maritime Sciences, Kobe University)

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## Aim

The **Gas-Phase D(H)-Loading Method [1] with Nano-Fabricated Metal Powders** is regarded promising for repeatable CMNS experiments.

We have constructed an experimental system to replicate the phenomenon of **excess-heat** (and  $^4\text{He}$  generation) and **investigate the underlying physics for D(H)-charged Pd powders**.

[1] Y. Arata, et al.; The special report on research project for creation of new energy, J. High Temperature Society, No. 1. 2008.

## Outline of the Present Work

We constructed two identical chambers (**twin system**); one for  $D_2$  gas foreground run and the other for  $H_2$  gas background run. Each system has an inner reaction chamber containing **Pd powders** (100nm Pd, **Pd-black** and **nano-Pd/ZrO<sub>2</sub>**).

A water-cooling system is provided for **flow calorimetry to estimate heat production rates for two phases.**

**D(H)/Pd ratios** were measured for the 1<sup>st</sup> phase (“zero pressure interval”), for changing conditions (powder, gas-flow rate, base-cell temperature, etc.).

A REM counter was used for monitoring neutron emission. A NaI scintillator was used for monitoring gamma-ray. Furthermore,  $^4He$  analysis will be performed in the future.

Fig. 1(a): A. Kitamura *et al.*, Physics Letters A, 373 (2009) 3109-3112.

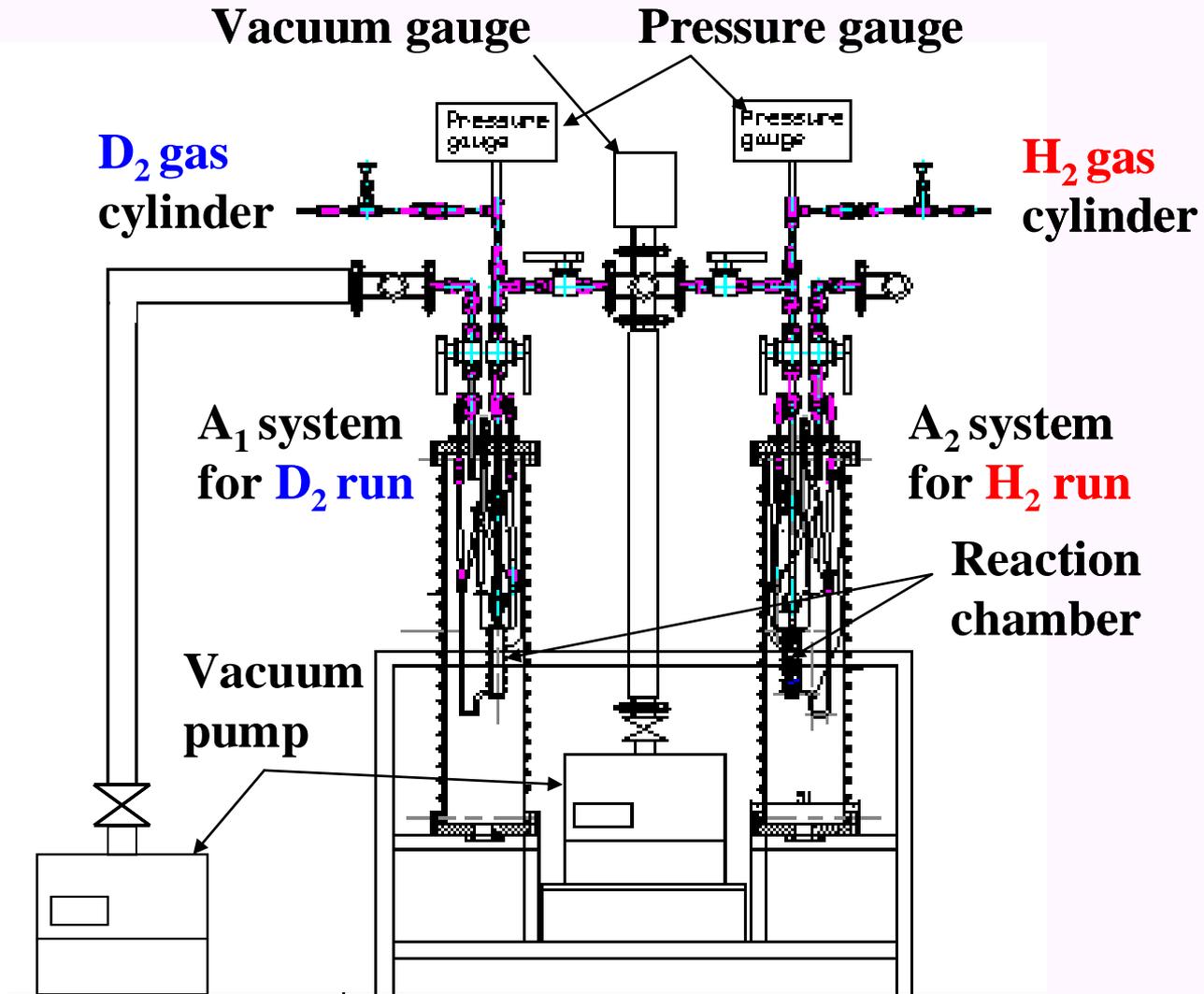
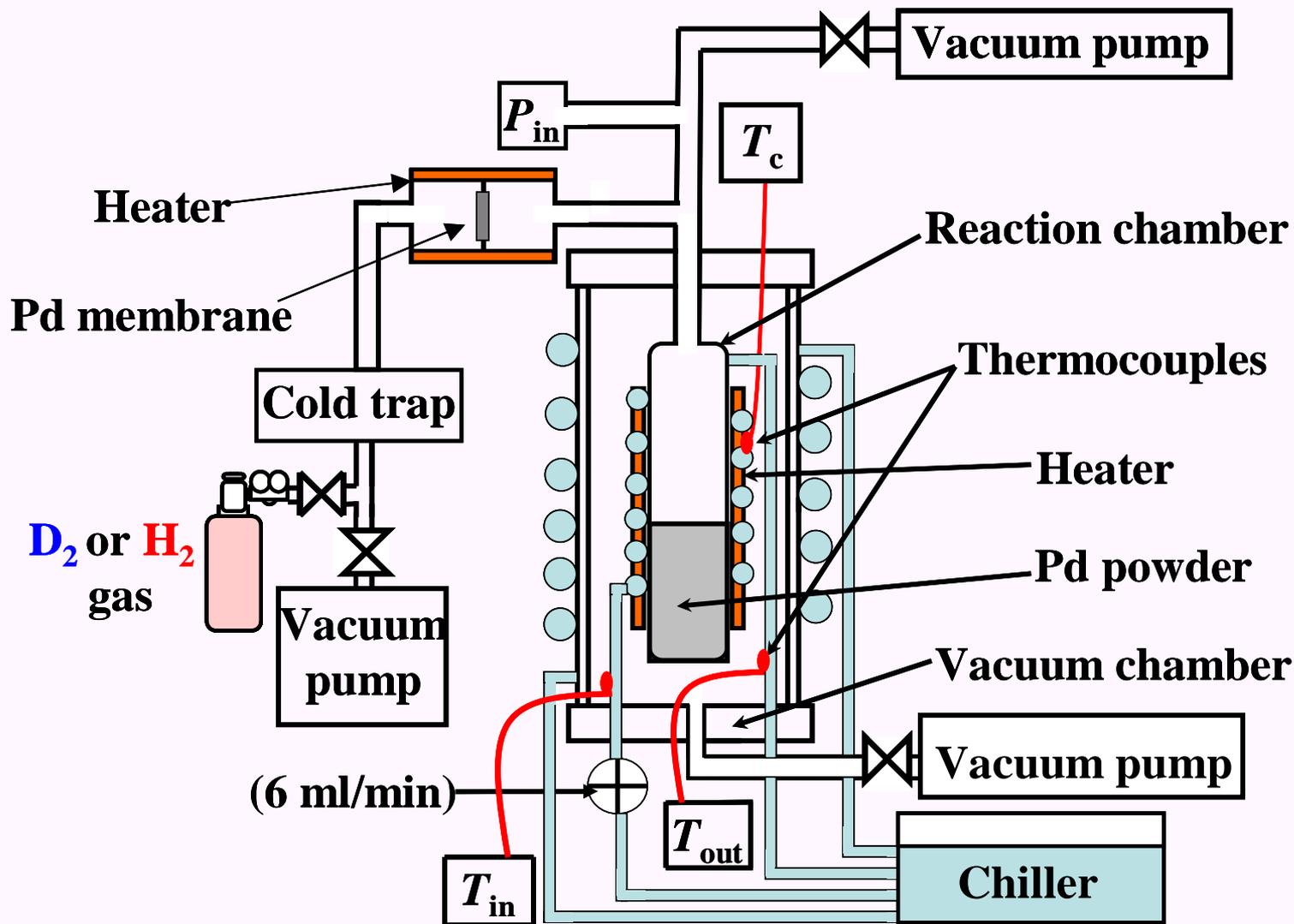
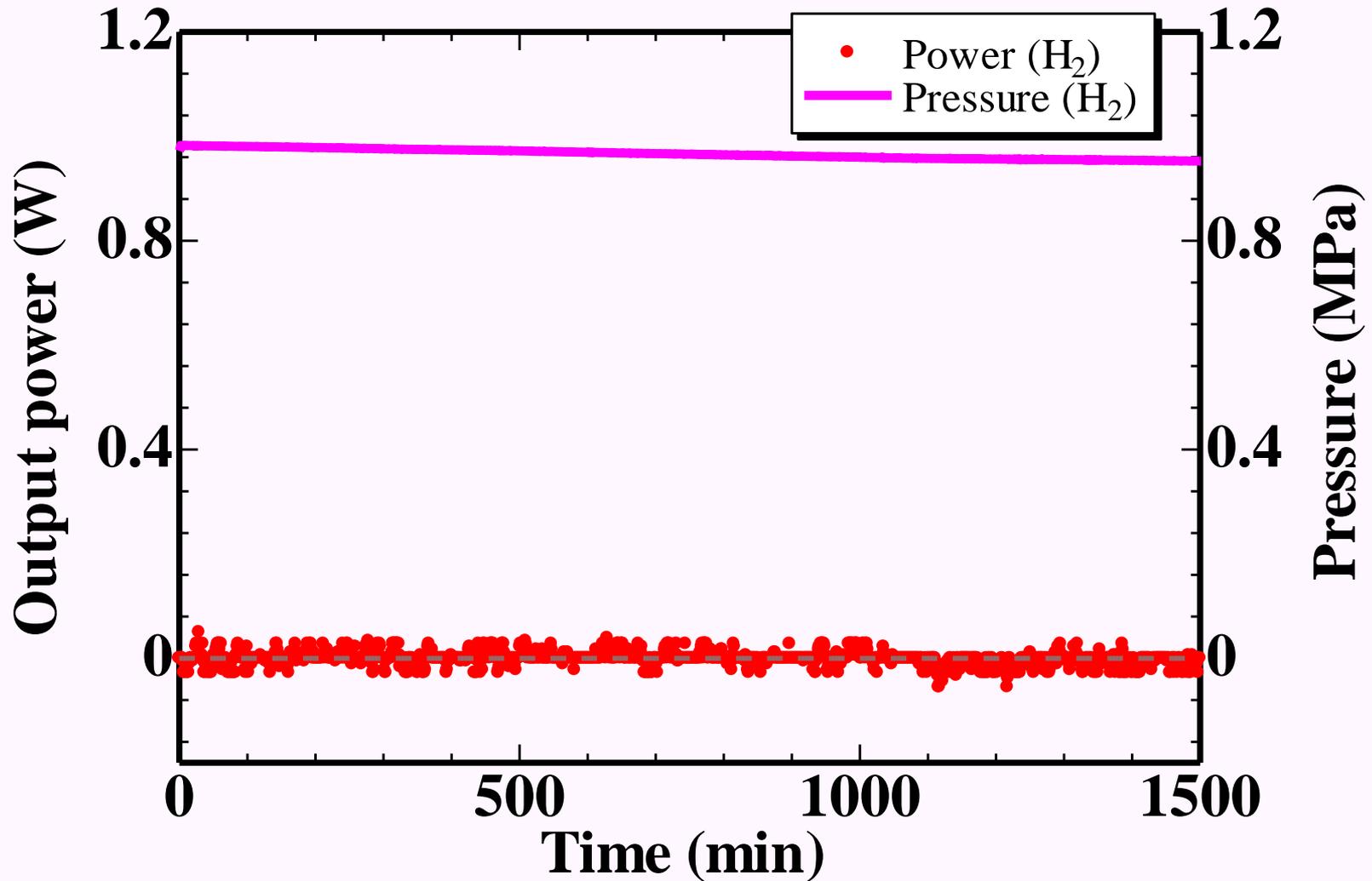


Fig. 1(b): A. Kitamura *et al.*, Physics Letters A, 373 (2009) 3109-3112.



New A: Stability of Flow Calorimeter with Zero Input Power  
Standard deviation = 14 mW



## 2. Experimental Procedure

Set Sample in Inner Cell



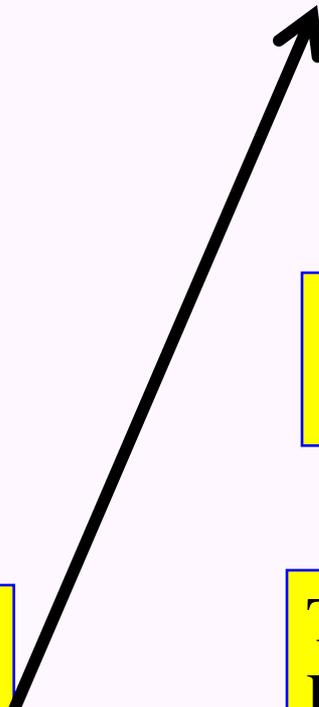
Evacuation and  
Baking (<300° C)



Start D (H) Gas Charge  
Run #1



Take Data: Calorimetry,  
Pressure, Neutron,  
Gamma-ray, etc.



Evacuation and  
Baking (<300° C)  
Take De-gas Data



Start D (H) Gas Charge  
Run #2

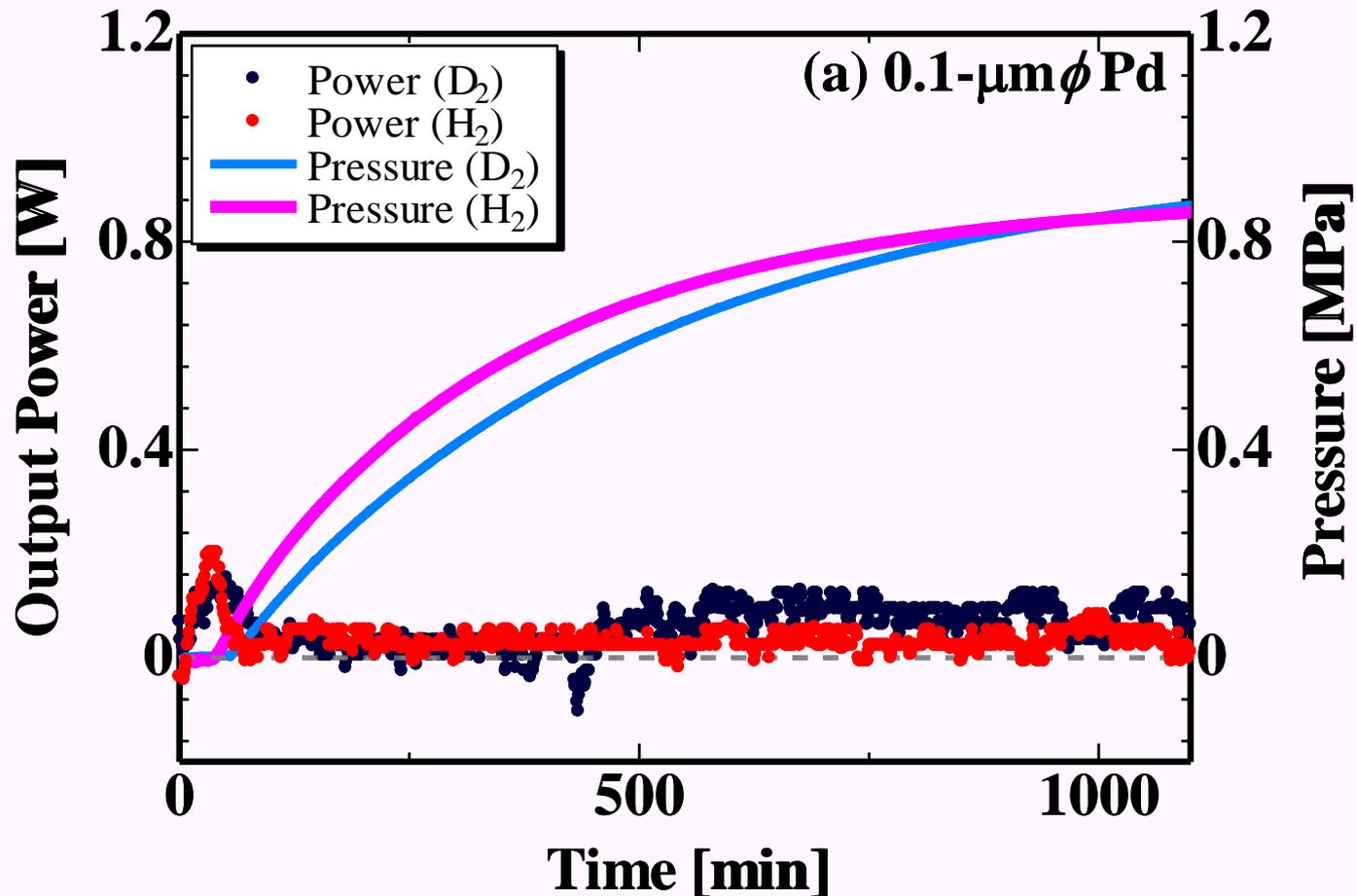


Take Data: Calorimetry,  
Pressure, Neutron,  
Gamma-ray, etc.

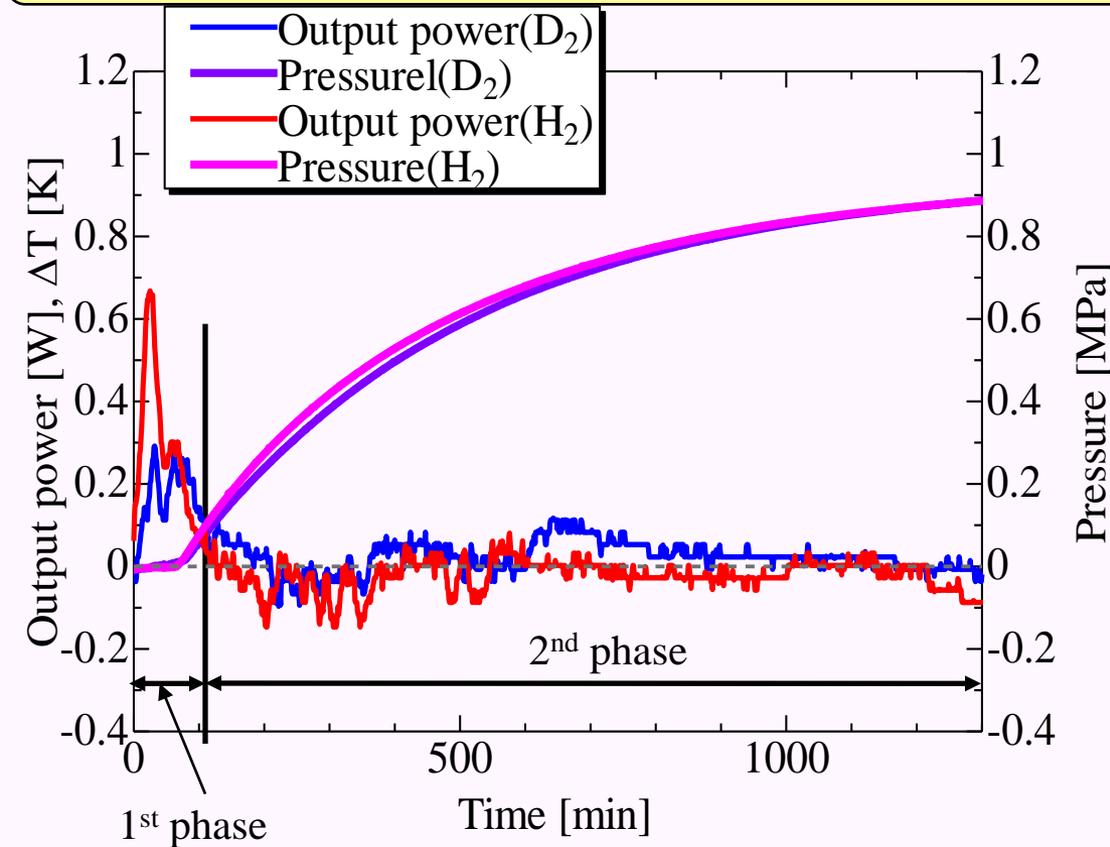
## Pd powders ( $\phi 0.1\mu\text{m}$ , 99.5%) – results

The evolution is divided into 2 phases by the time 30 minutes after the beginning of the pressure rise.

The release of heat of hydride formation should be completed in the 1<sup>st</sup> phase.



## B. Pd black (300 mesh, 99.9%) – comparison of Heat balance



Heat balance

	$D_2$	$H_2$
1st phase	$5.4E+2$ J/g	$4.5E+2$ J/g
2nd phase	$2.6E+3$ J/g	$-6.2E+2$ J/g

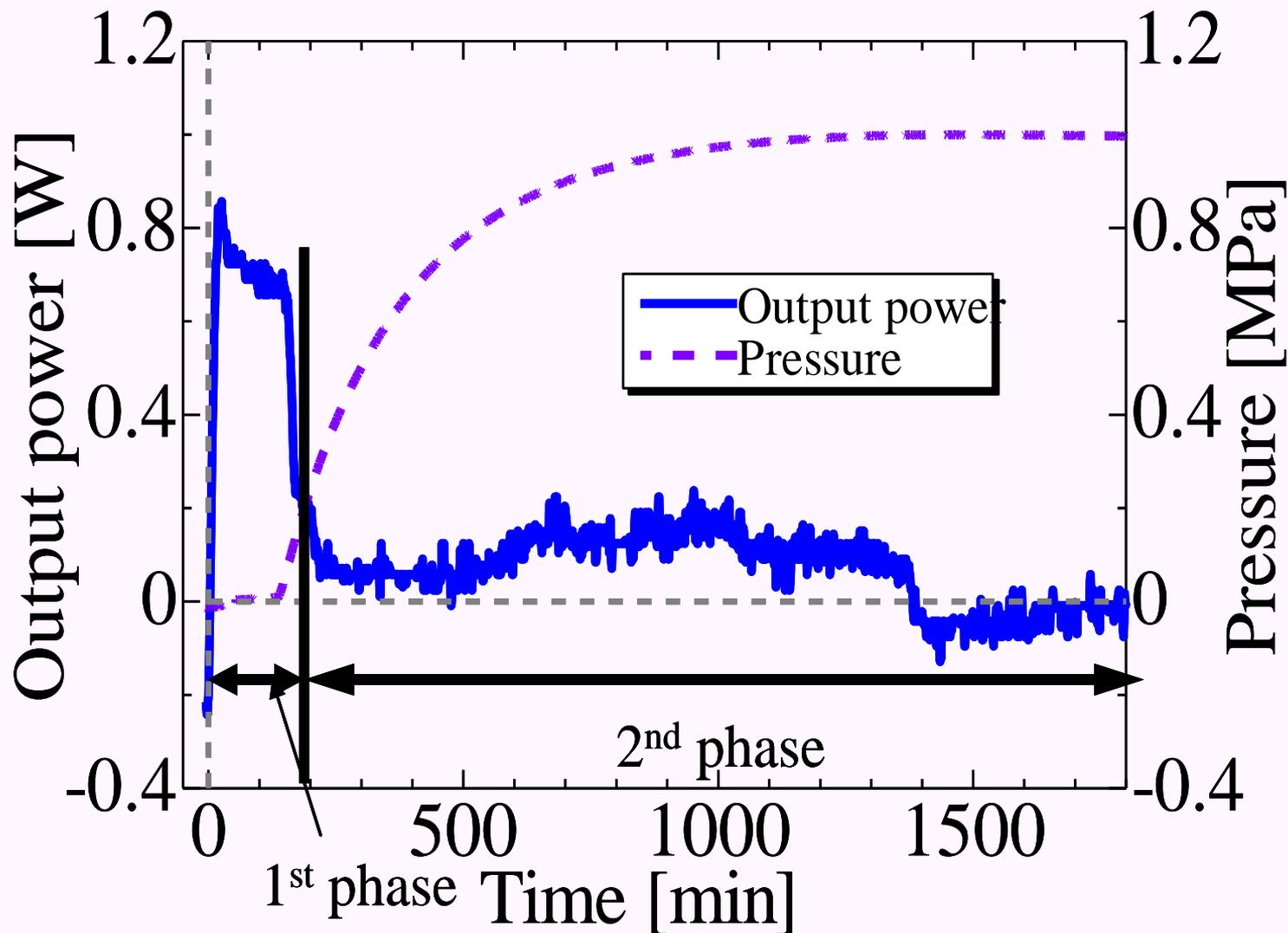
- The output energies in the 1st phase are almost the same for both cases, but seem to be somewhat larger than the nominal values of 100 – 405 J/g ( $H_2$ ) and 80 - 330 J/g ( $D_2$ )
- On the contrary, the output energy in the 2nd phase appears to be larger for  $D_2$  than  $H_2$ .

## Experiments with Pd/PdO/ZrO<sub>2</sub> Dispersed Samples

10g (Net Pd weight : **3 g**): Three trials for Santoku 1, Santoku 2 and Santoku 3 samples, #1 and #2 runs for each sample

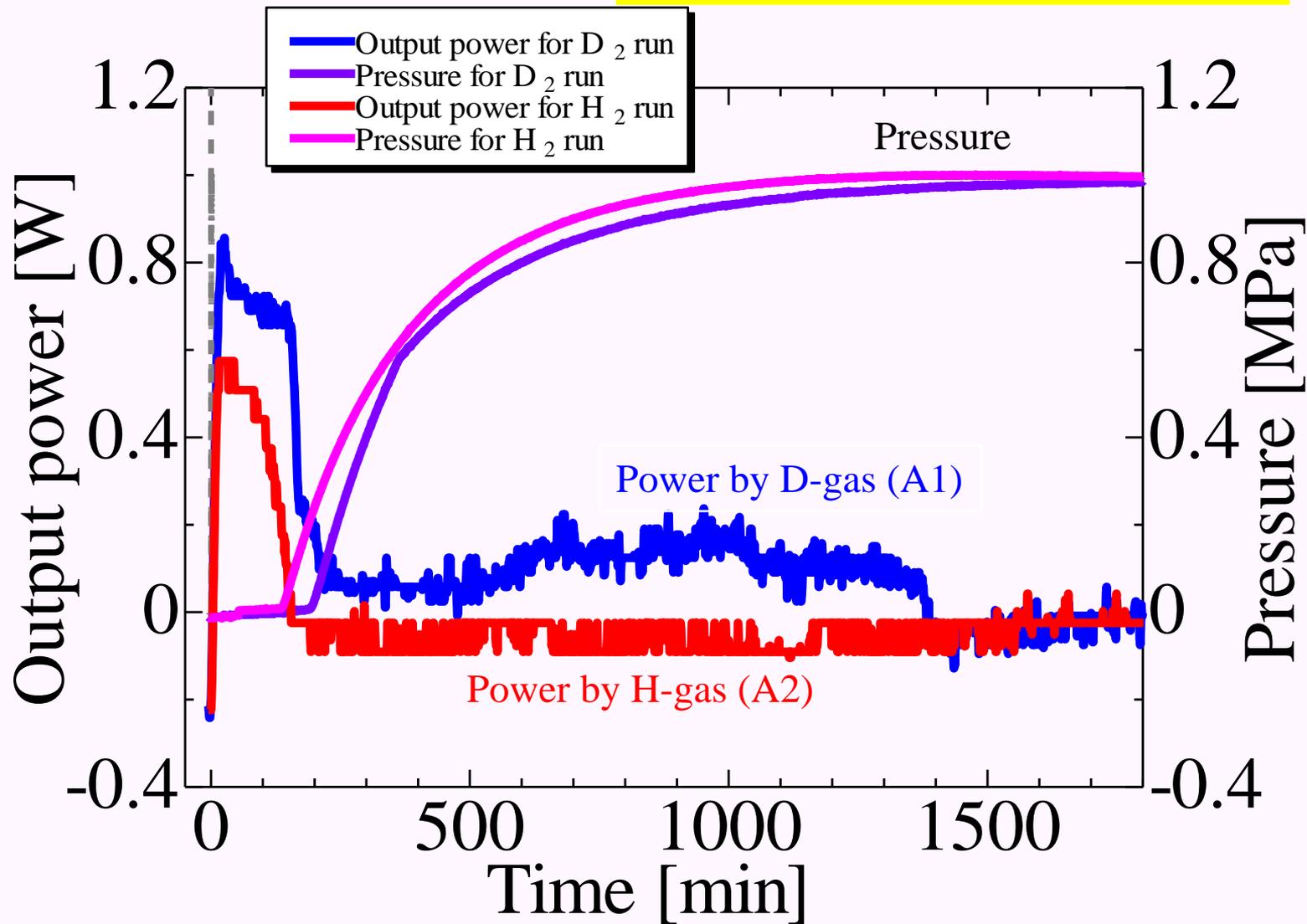
- Nano-Pd/ZrO<sub>2</sub> sample was produced by Santoku Co. Japan, based on different protocol from Inoue-Yamaura (Arata-Zhang).
- X-ray diffraction analysis showed composite of Pd/PdO/ZrO<sub>2</sub> .
- Pd particle size is 10nm in averaged diameter.
- A1 system: 10g for D-gas charging (2-3sccm)
- A2 system: 10g for H-gas charging (2-4sccm)
- Water-flow calorimetry: 6cc/min flow rate

# Definition of Phase-I and Phase-II

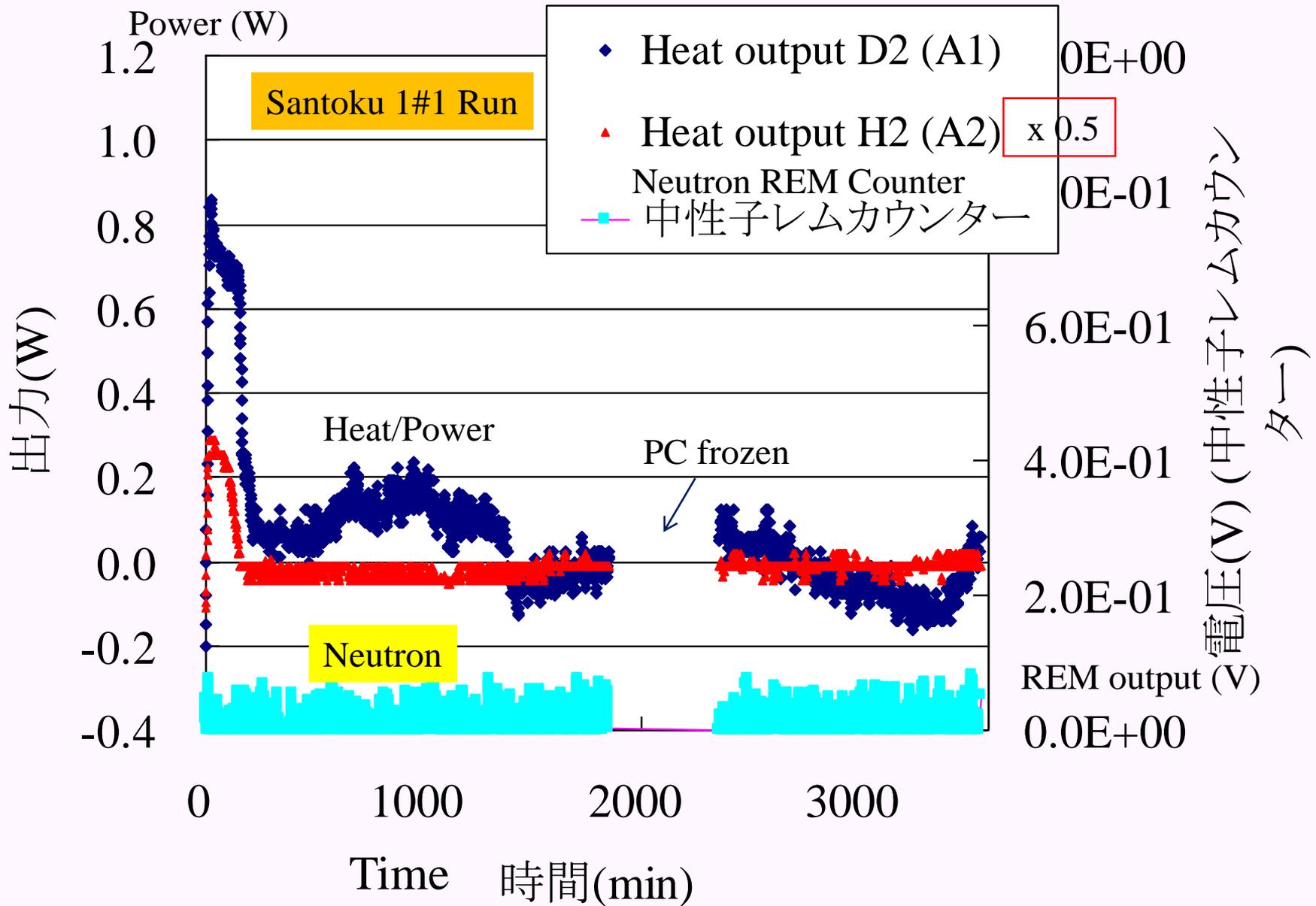


# D-PZ1#1 and H-PZ2#1; Results of Heat Evolution

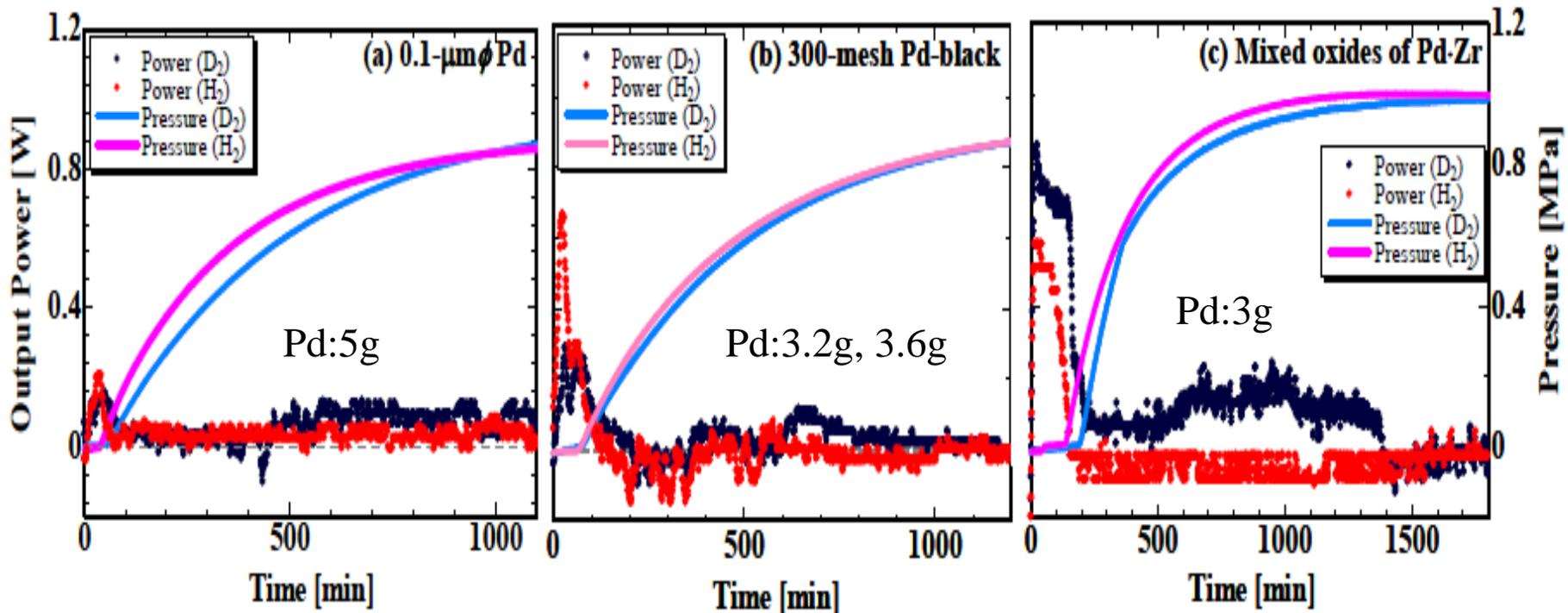
Gas flow rate: 1.8 (D) and 2.3(H) sccm



No increase of neutron count rate by REM counter was seen, gamma-ray either.



Comparison of heat-power evolutions for 100nm Pd, Pd-black and 10nmPd/PdO/ZrO<sub>2</sub> samples:  
**Blue by D-charge cf. Red by H-charge**



a) Bulk Character

b) Near-Nano  
Character

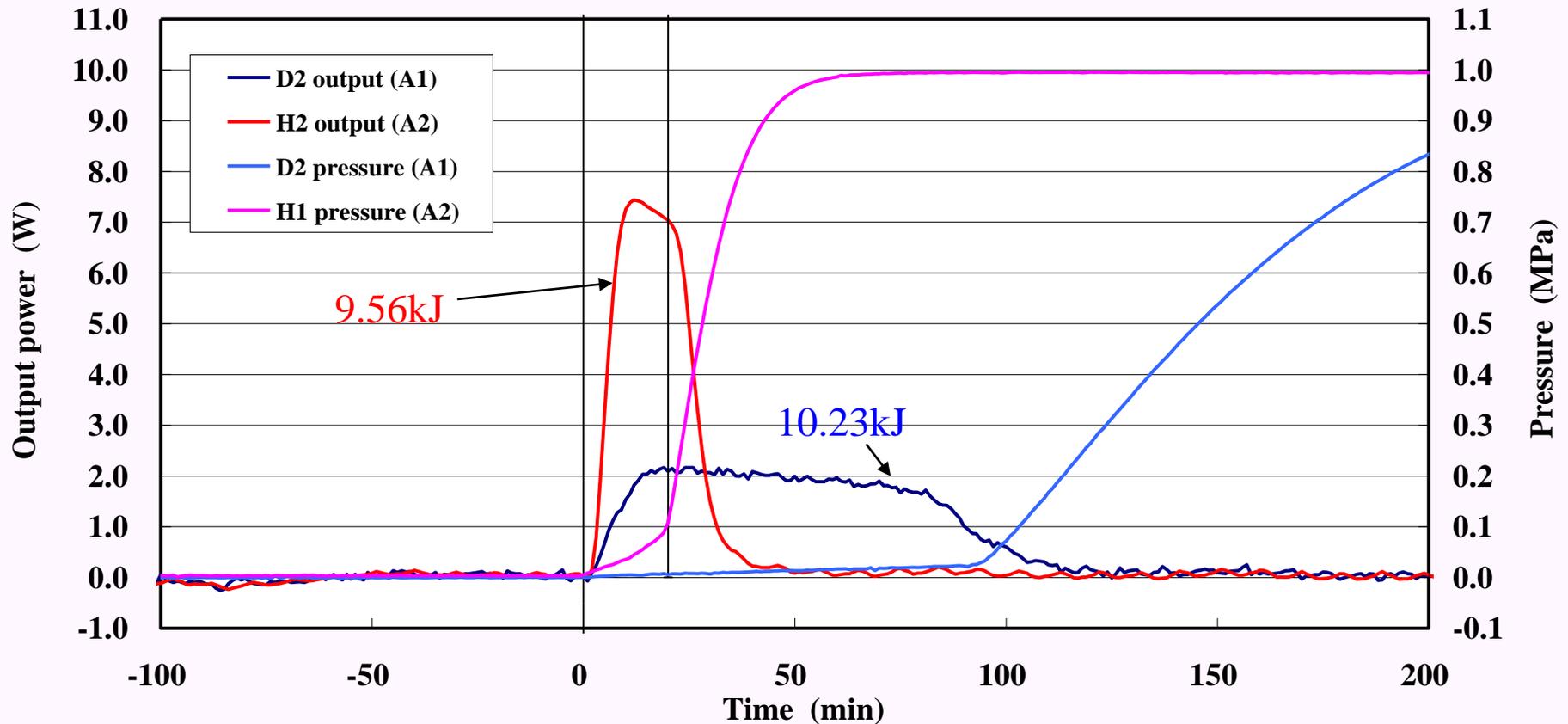
c) Mesoscopic  
Character

D-PZ9#1 vs. H-PZ10#1 :-100~300min

Flow Rates: 6.42 (D), 20.5 (H) :sccm

E1st: 1.87eV/D, 2.53eV/H

Sample net Pd weight: 4.2g (Pd particle size : about 8 nm)



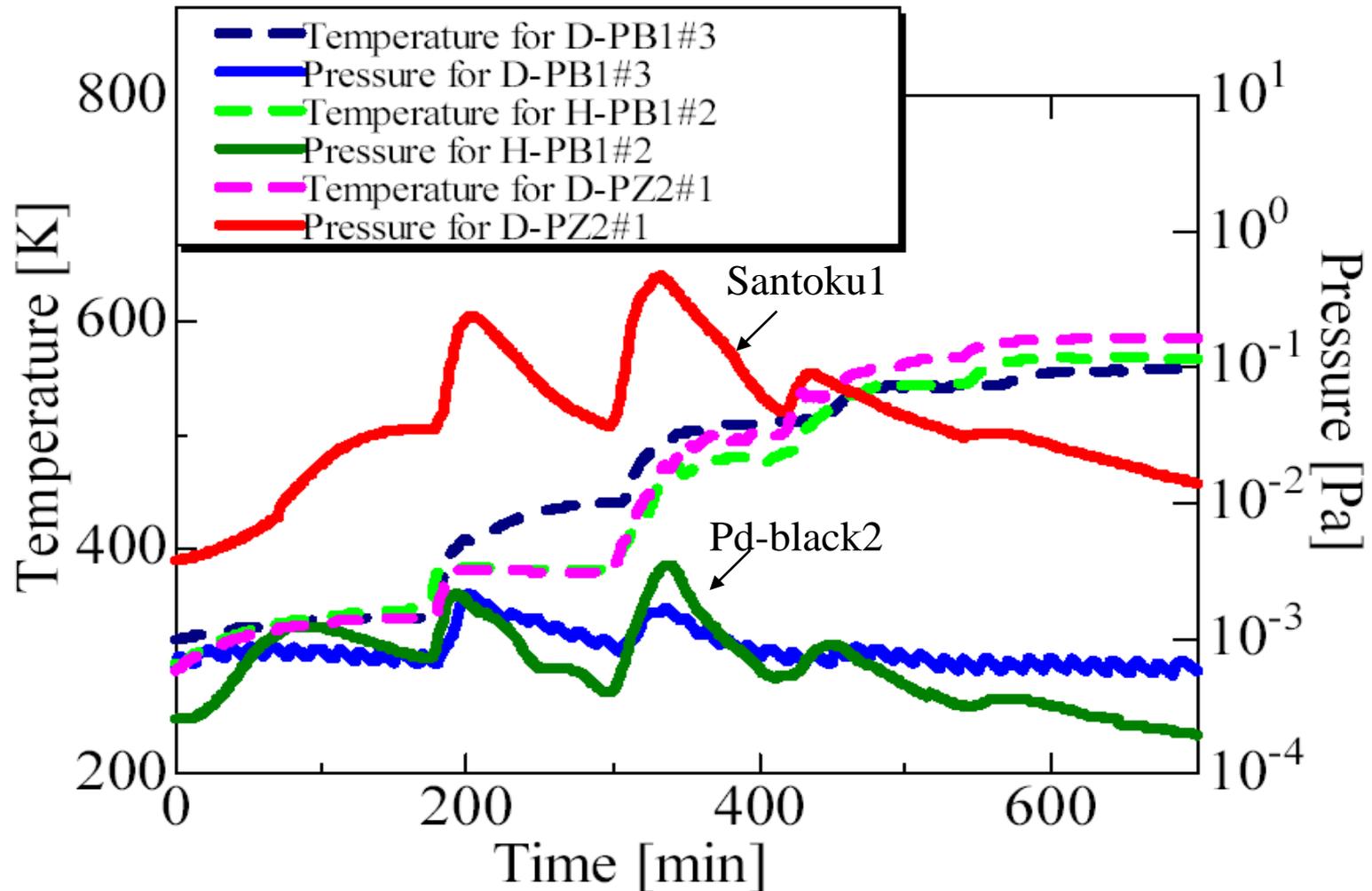
# Summary table of integrated data for phase-1 and phase-2

Run #	weight of Pd [g]	Flow rate [sccm]	Output energy [kJ]		Specific output energy [kJ/g]		D/Pd or H/Pd (1st ph.)	E1st [eV/D(H)]
			1st phase	2nd phase	1st phase	2nd phase		
D-PP1#1	5.0	2.7	0.5±0.4	2.5±4.1	0.10±0.07	0.52±0.83	0.43	0.26±0.14
D-PP1#2	5.0	3.8	0.5±0.2	4.0±4.4	0.10±0.05	0.79±0.88	0.44	0.25±0.09
H-PP2#1	5.0	5.4	0.4±0.2	2.6±3.9	0.08±0.03	0.53±0.80	0.44	0.20±0.07
D-PB1#1	3.2	3.6	1.7±0.3	8.3±4.5	0.54±0.10	2.60±1.40	0.88	0.67±0.12
H-PB2#1	3.6	4.2	1.6±0.3	(-2.2±4.6)	0.45±0.08	(-0.62±1.30)	0.79	0.62±0.11
D-PB3#1	20.0	2.9	9.3±1.1	1.1±0.5	0.47±0.06	0.06±0.02	0.79	0.65±0.08
D-PB3#2	20.0	0.9	3.3±0.5	3.4±2.6	0.17±0.03	0.17±0.13	0.23	0.79±0.05
H-PB3#3	20.0	2.1	3.2±0.2	14±4.6	0.16±0.01	0.68±0.24	0.24	0.74±0.05
D-PZ1#1	3.0	1.8	7.0±0.2	6.8±1.3	2.33±0.05	2.27±0.43	1.08	2.4±0.05
H-PZ2#1	3.0	2.3	3.6±0.1	(-5.1±1.4)	1.20±0.02	(-1.70±0.47)	1.00	1.3±0.02
D-PZ3#1	3.0	1.9	6.4±0.2	6.2±1.4	2.13±0.05	2.07±0.47	1.08	2.2±0.05
H-PZ4#1	3.0	3.6	4.8±0.1	1.9±1.4	1.60±0.02	0.63±0.47	0.86	2.1±0.03
D-PZ5#1	3.0	2.0	7.1±0.2	1.3±1.4	2.38±0.03	0.42±0.45	1.04	2.5±0.03
H-PZ6#1	3.0	5.9	7.1±0.1	(-0.2±1.4)	2.36±0.02	(-0.08±0.48)	1.34	1.9±0.02
Average for PZ		(D)	6.9±0.4	4.8±3.0	2.3±0.1	1.6±1.0	1.1±0.0	2.4±0.2
		(H)	5.2±1.8	(-1.1±3.6)	1.7±0.6	(-0.4±1.2)	1.1±0.3	1.8±0.4

# Discussions for the 1<sup>st</sup> Phase

- Pd 0.1 micron:  $(\text{Heat/D})_{av} = 0.25 (\pm 0.1) \text{ eV}$   
 $(\text{Heat/H})_{av} = 0.20 (\pm 0.1) \text{ eV}$
- Pd-black:  $(\text{Heat/D})_{av} = 0.70 (\pm 0.15) \text{ eV}$   
 $(\text{Heat/H})_{av} = 0.69 (\pm 0.1) \text{ eV}$
- Santoku1-3:  $(\text{Heat/D})_{av} = 2.4 (\pm 0.2) \text{ eV}$   
(Pd/ZrO<sub>2</sub>)  $(\text{Heat/H})_{av} = 1.8 (\pm 0.4) \text{ eV}$
- After Fukai book: 0.2eV/H for bulk H absorption.  
100kJ/mol-H<sub>2</sub> : 0.5eV/H for surface adsorption.
- Reaction may be Surface Mesoscopic Phenomenon for the 1<sup>st</sup> Phase (“zero pressure” interval). Isotopic effect is visible. Flow rate dep.
- Pd nano-particle makes deep trapping potential of D(H), probably in fractal defects of its surface!?
- This is the reason of high loading “in vacuum” for Pd-nano.

After evacuation, Santoku sample retains much more (100 times) D(H) than Pd-black: due to mesoscopic effect (rearrangement of surface and lattice) of Pd nano-particles.



4-3. Variation of pressure during sample baking for outgassing.

# TEM Image of Pd/ZrO<sub>2</sub> Sample

(Courtesy of the Nuclear Science and Engineering Institute and Particulate Systems Research Center at the University of Missouri-Columbia; by R. Duncan)

TEM images of Palladium nanoparticles from Japan (sample # 2)

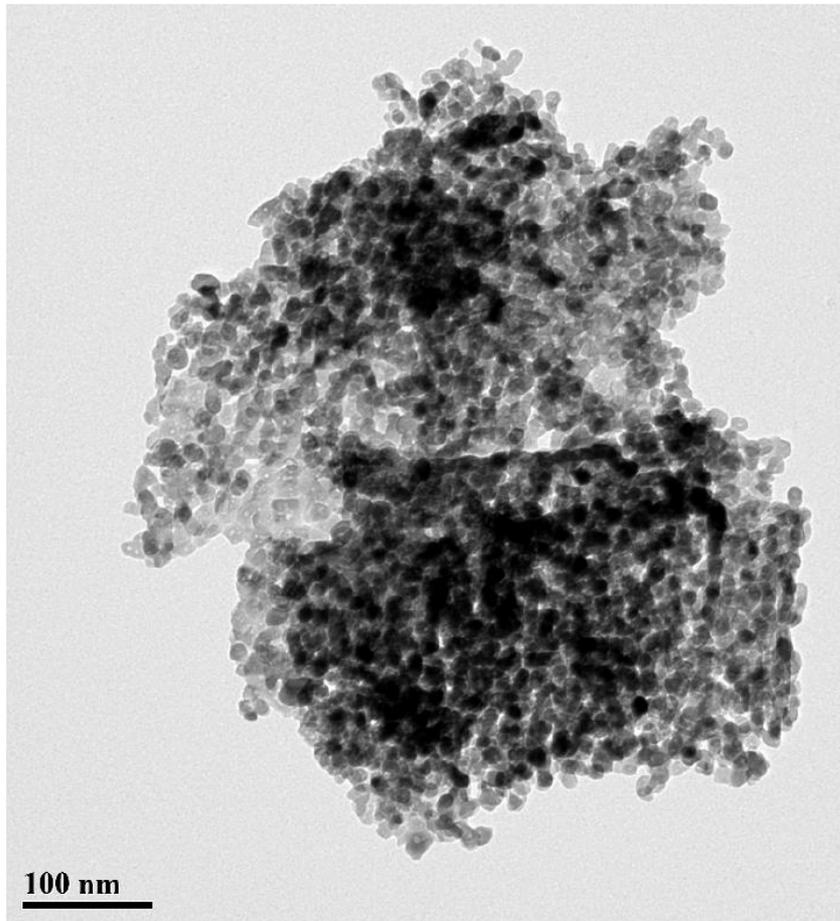
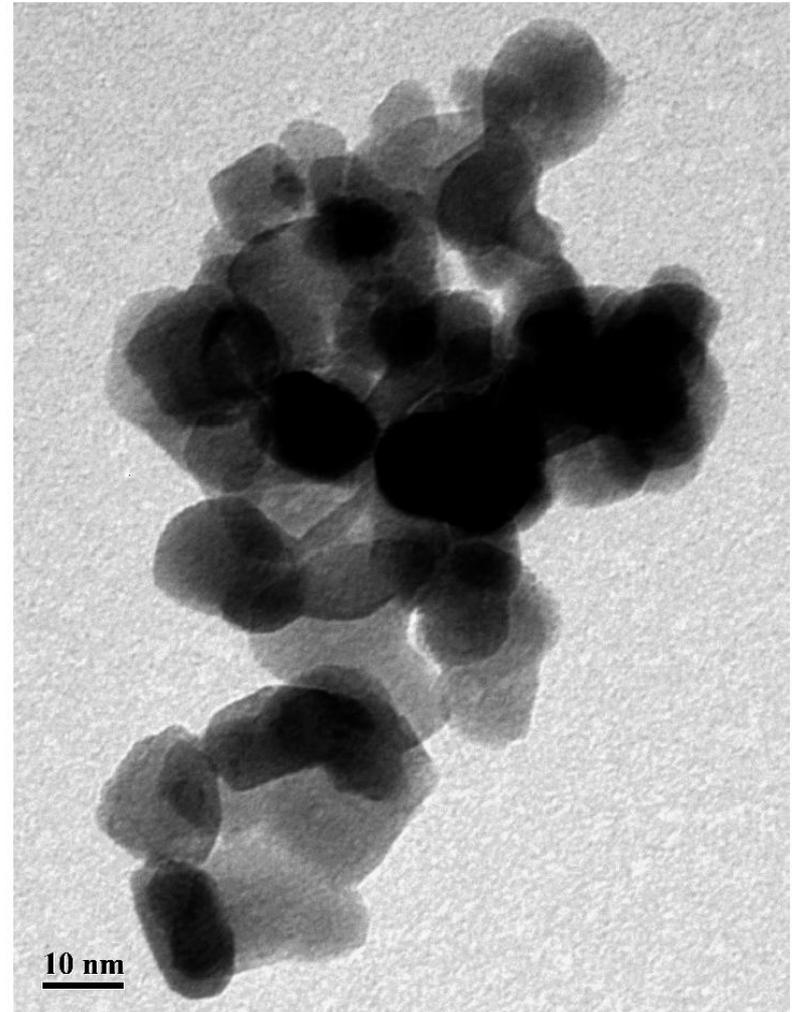
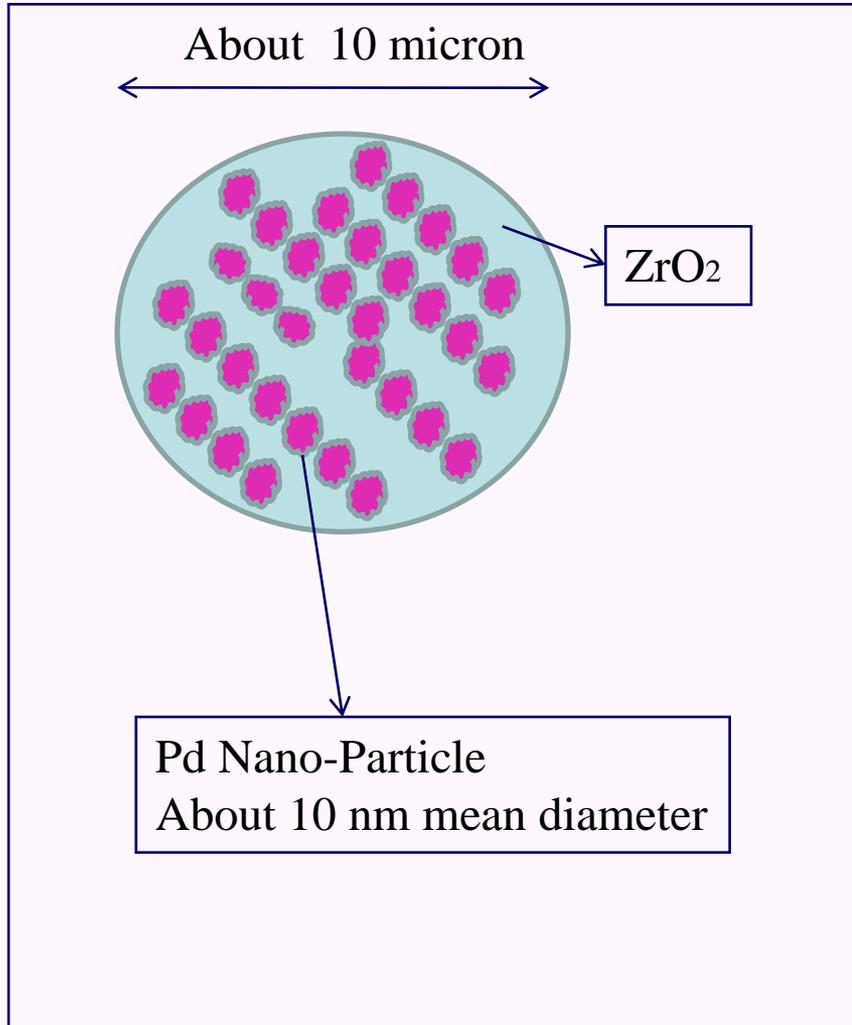


Image at 25000X magnification



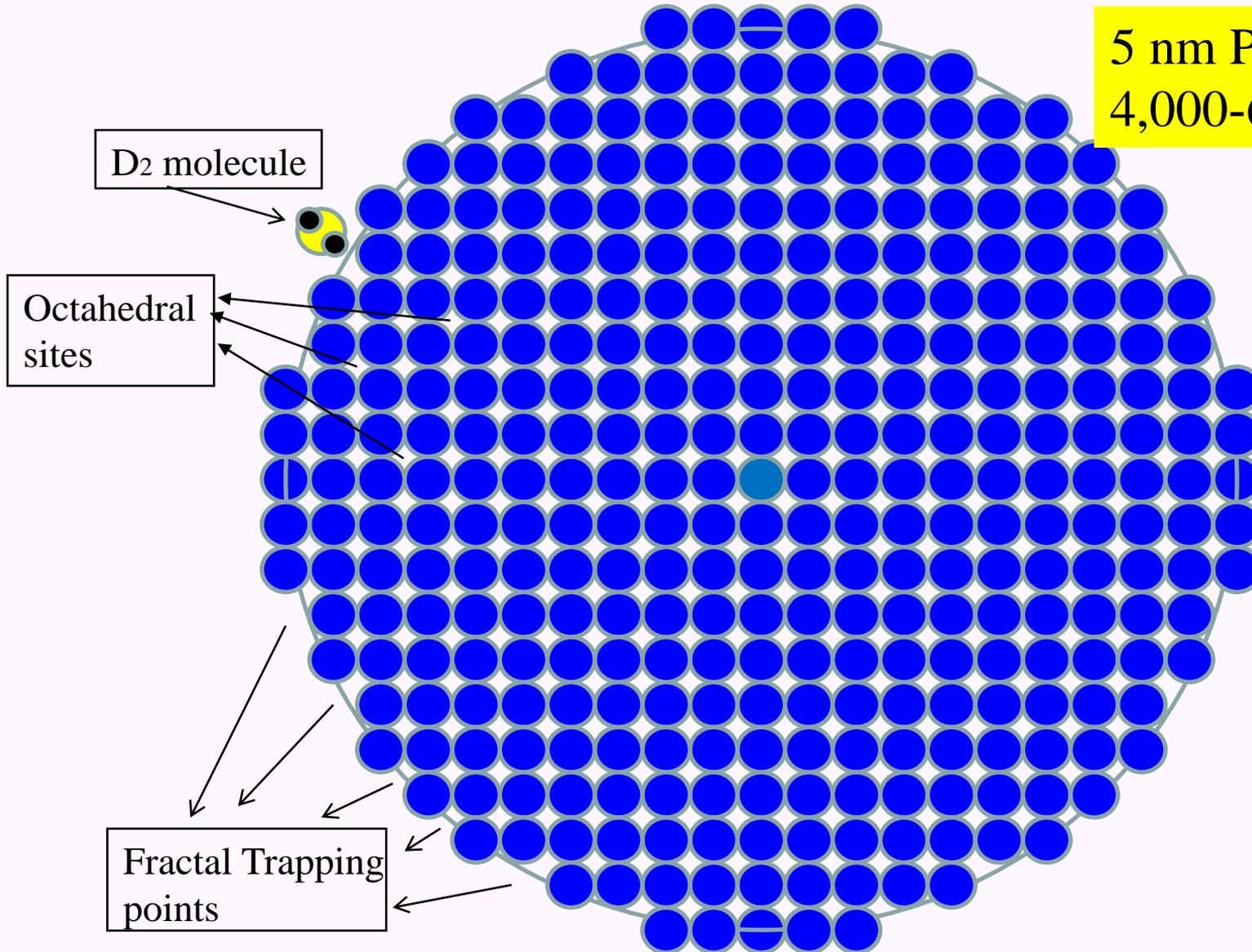
# Metal-Oxide-Nano-Pd Composite



- PdD<sub>x</sub>
- **X=1.0** by Arachi et al.;  
D-Absorption in O-sites of Pd-Lattice  
(We support this data)
- X=2.0 by Yamaura et al.; deuterons at Pd-ZrO<sub>2</sub> interface  
(Voids?)
- Arata claimed: **x=2.5**

# Irregular and Fractal Sites should form on surface of nano-particle

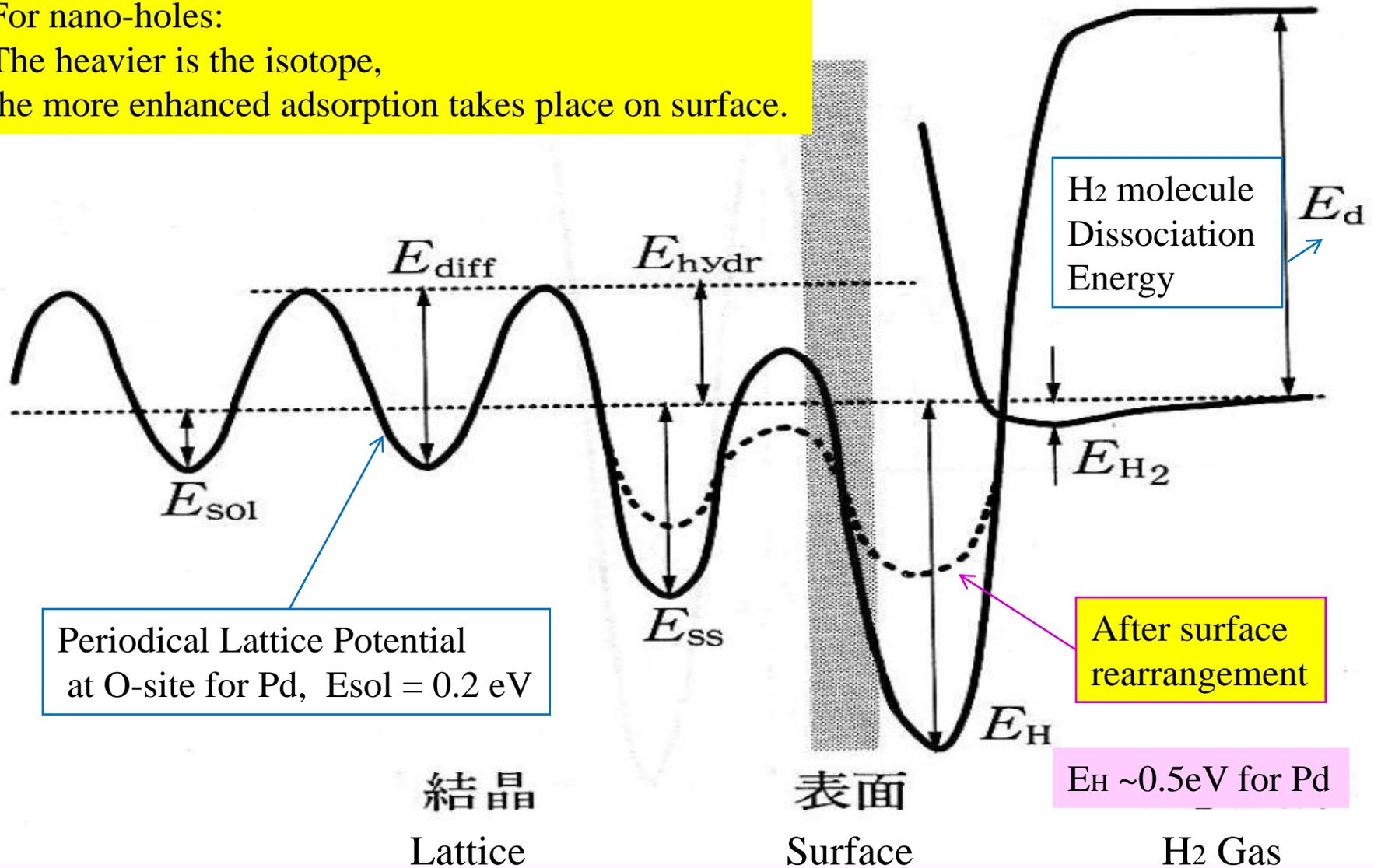
5 nm Pd particle:  
4,000-6,000 atoms



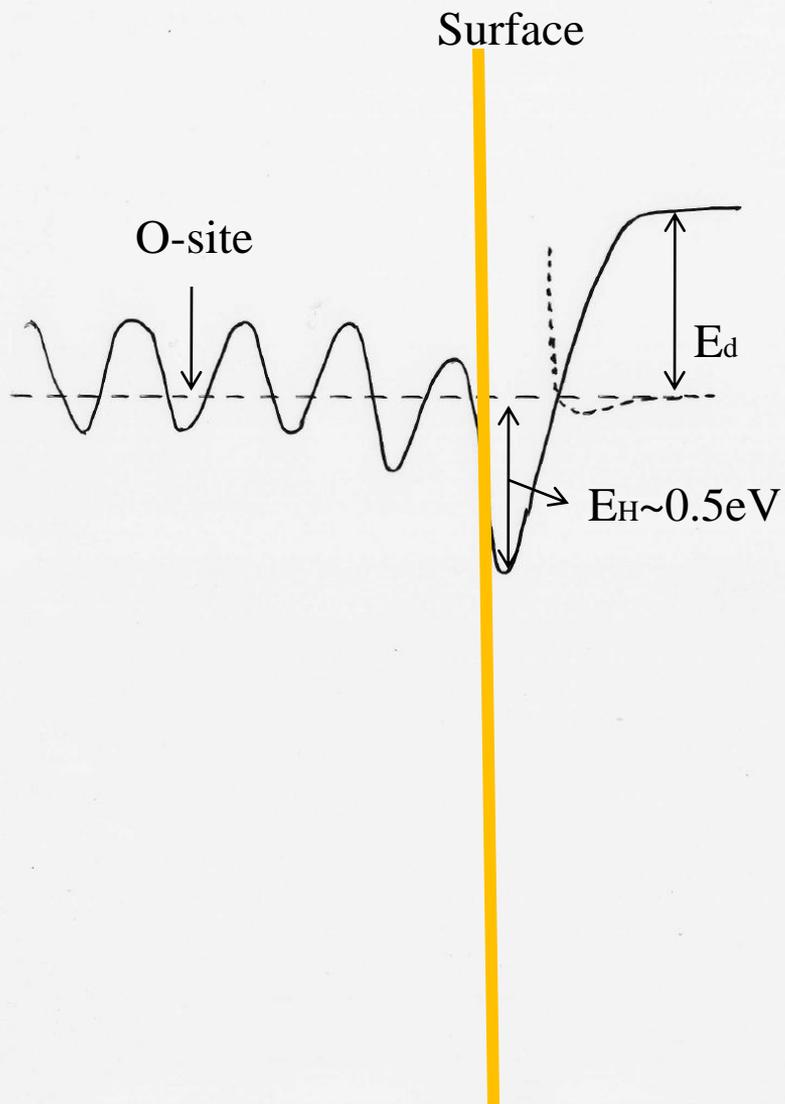
# Potential form of hydrogen adsorption and absorption near surface

For nano-holes:

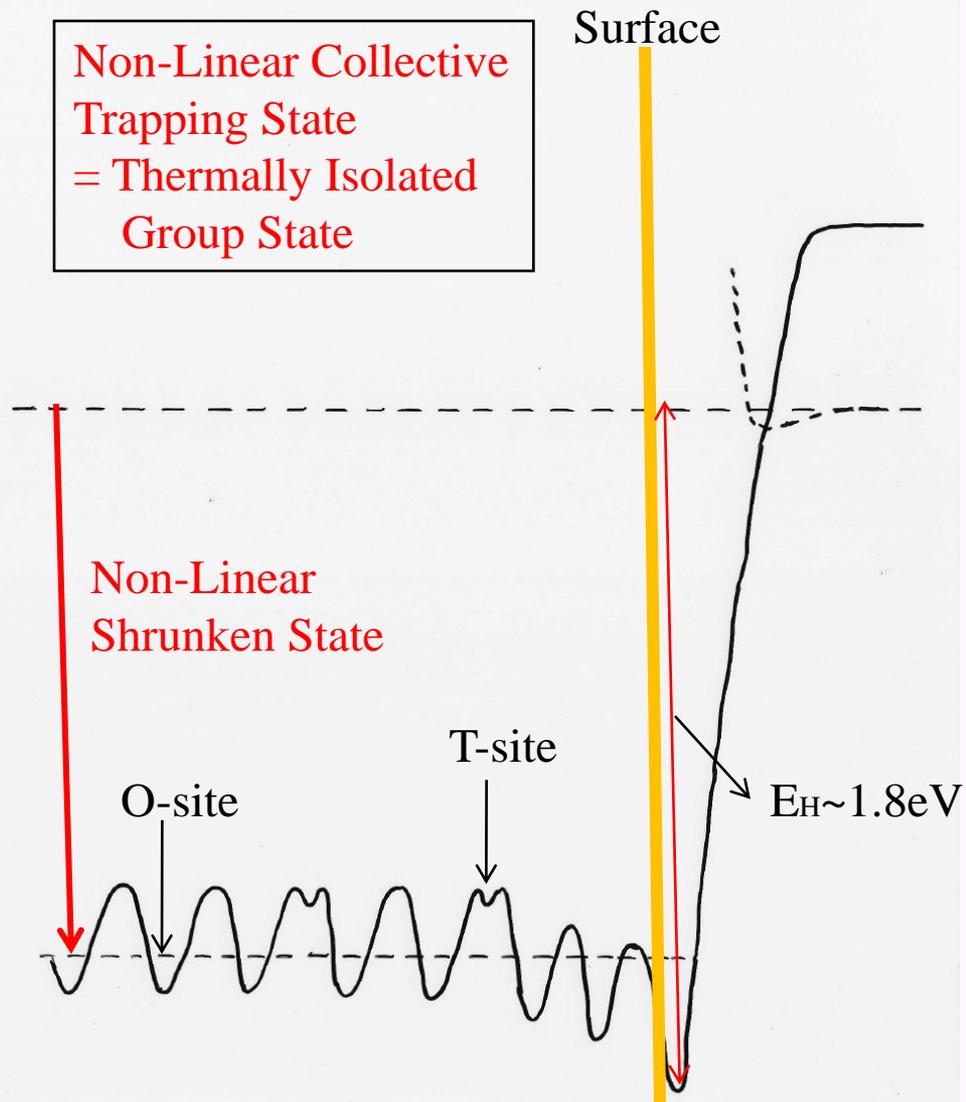
The heavier is the isotope, the more enhanced adsorption takes place on surface.



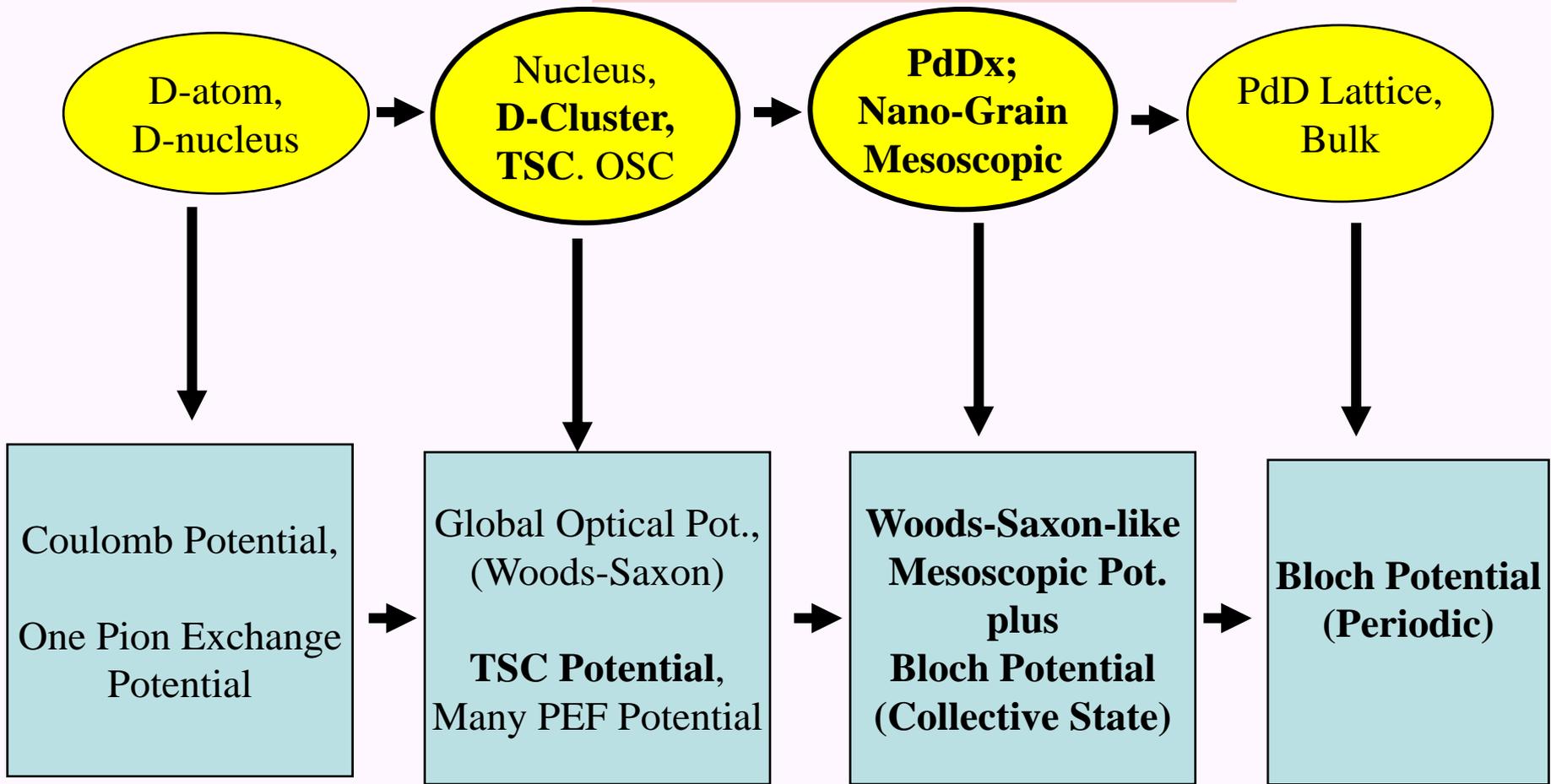
A) Bulk Pd Lattice



B) Mesoscopic Pd Lattice



## D-Cluster Fusion



(From Few Body System to Many Body System under Constraint (Self-Organization))

# Conclusions-1

- Arata-Zhang's Excess Heat Result was replicated quantitatively.
- For Pd/PdO/ZrO<sub>2</sub> powder (Santoku):
  - 1) D-gas charge in the **1<sup>st</sup> phase** (zero pressure) gave **20-90% excess heat** than H-gas charge.
  - 2) **In the 2<sup>nd</sup> phase, significant excess heat (about 3 kJ/g-Pd) for D-gas charge**, while zero level for H-gas charge. (0.4 kJ/g-Li for lithium-ion battery)
- No increase of neutron counts was seen.
- **D(H)/Pd ratio** in the end of 1<sup>st</sup> phase was **>1.0** (x=1.1 in average) Flow rate dependence.
- The Clumping-Together Effect can be depressed by the Pd/ZrO<sub>2</sub> dispersed sample.

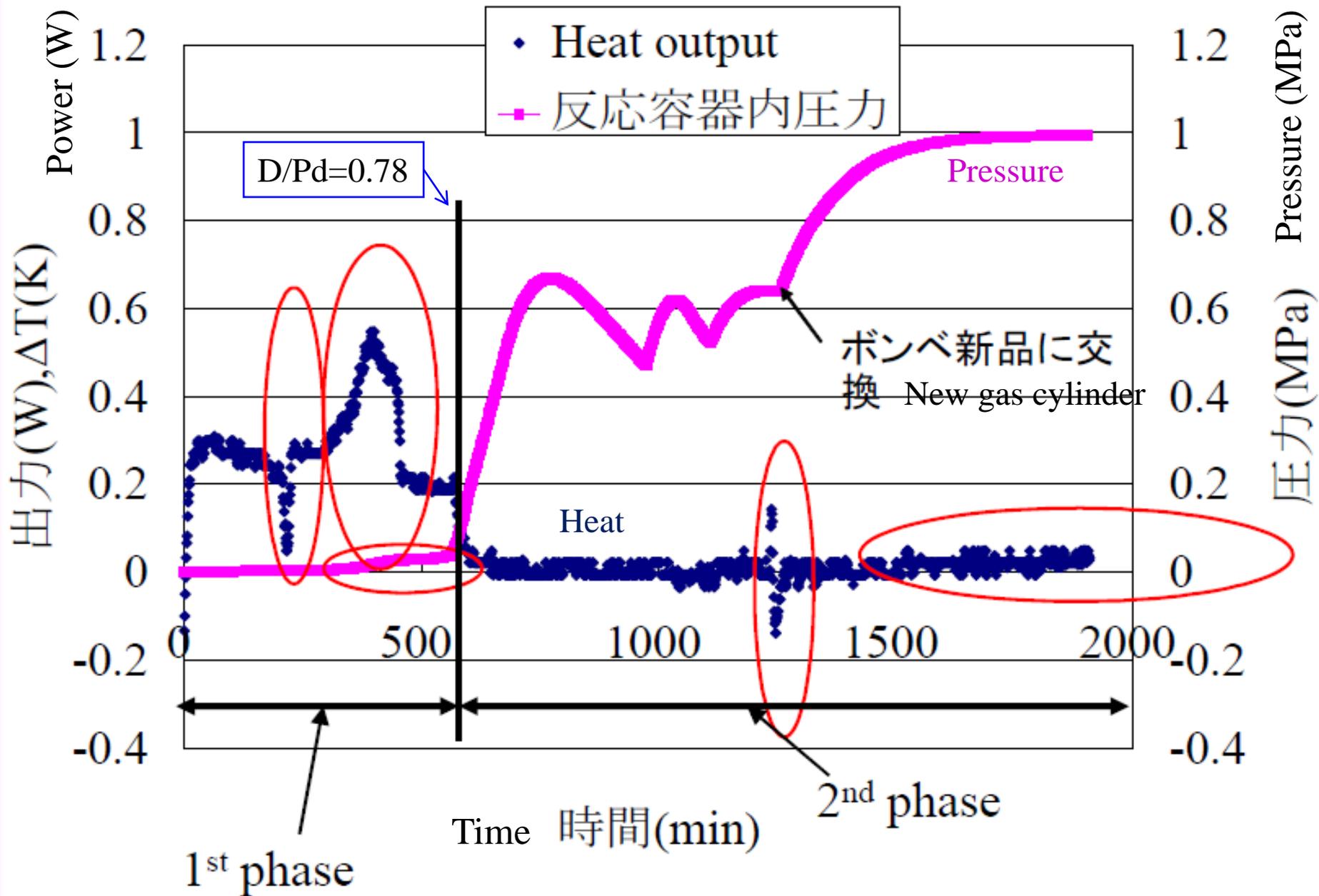
## Conclusions-2

- Nano-Pd dispersed sample (Santoku, Pd/ZrO<sub>2</sub>) retained 100 times more D(H) atoms after evacuation, than the Pd-black case.
- **Mesoscopic effect** by Pd-nano-particle; **deep well plus Bloch potential** for collective state: probably makes **deep D(H) trapping potentials (1.8-2.5eV)**.
- We need study for D(H)-gas flow-rate dependence and Pd-particle size dependence.
- **Heat of Pd/ZrO<sub>2</sub> in Phase-I was about 10 times of bulk Pd. Peak power increases with flow rate increase.**
- Replication by other groups is important.

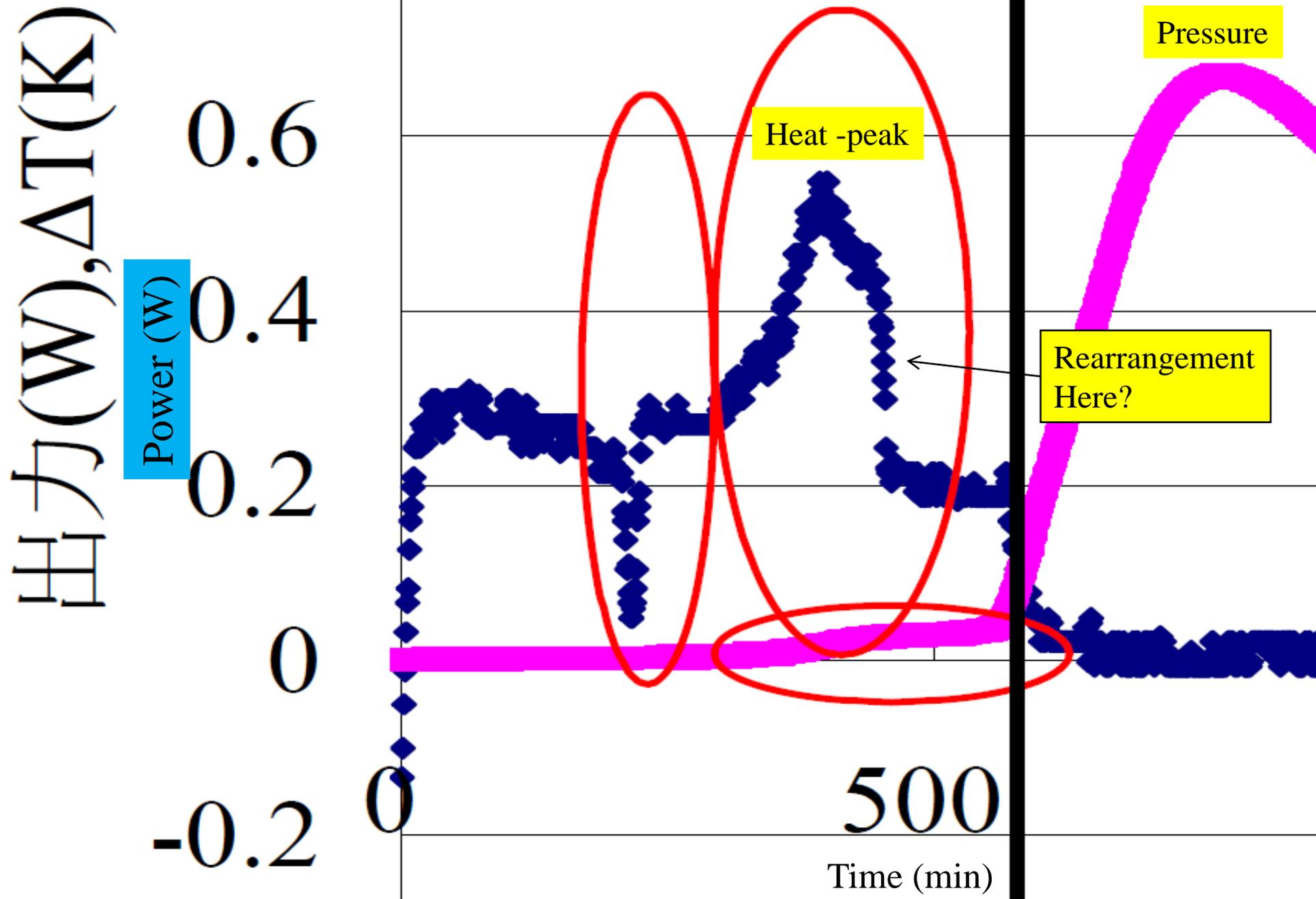
# Supplement Data-1

- Pb Black data
- Clumping-together Effect
- SEM Images

Pd-black (20g) / D-gas charge #1: Nov. 2008

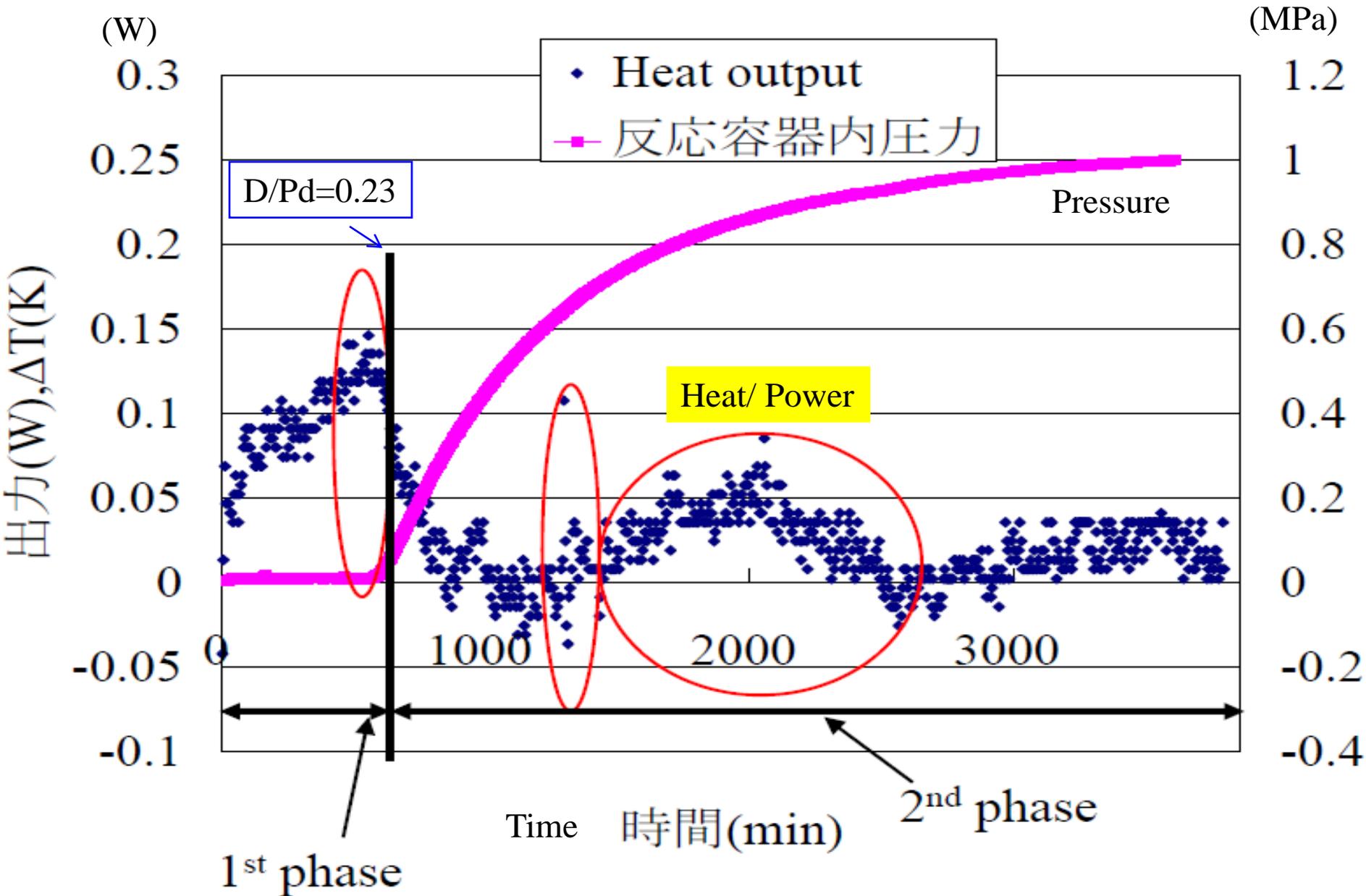


Pd-black / D-gas charge #1 data

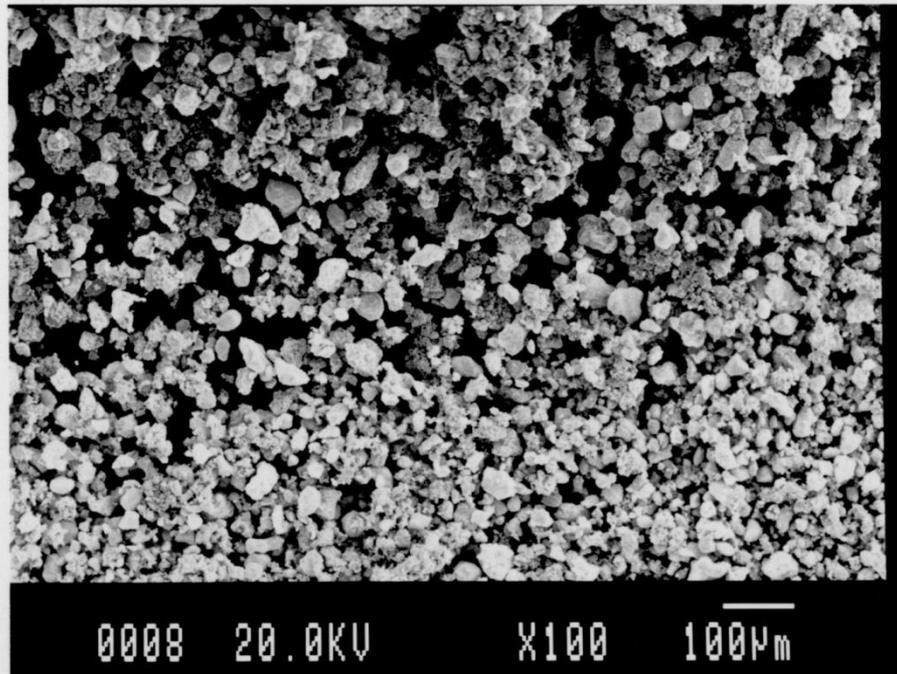


Decreased absorption and heat level for #2

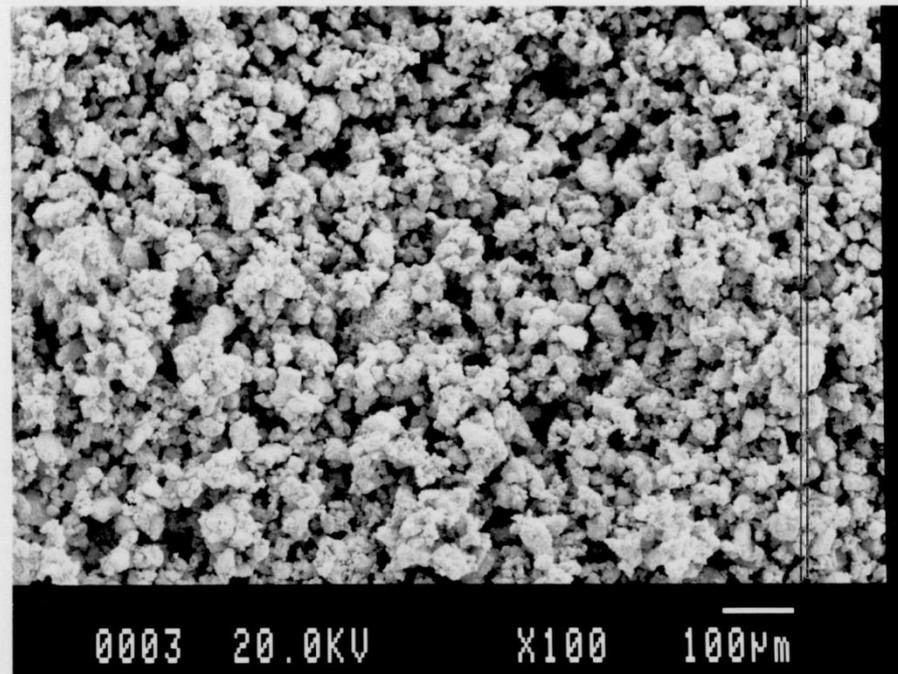
Pd-black (20g)/ D-gas #2



Before Runs



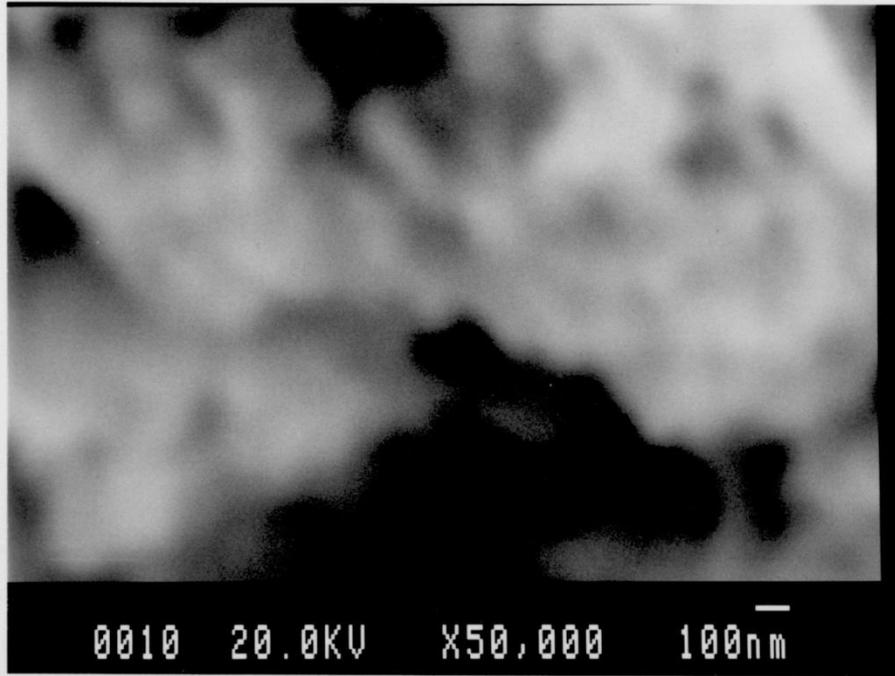
After Runs



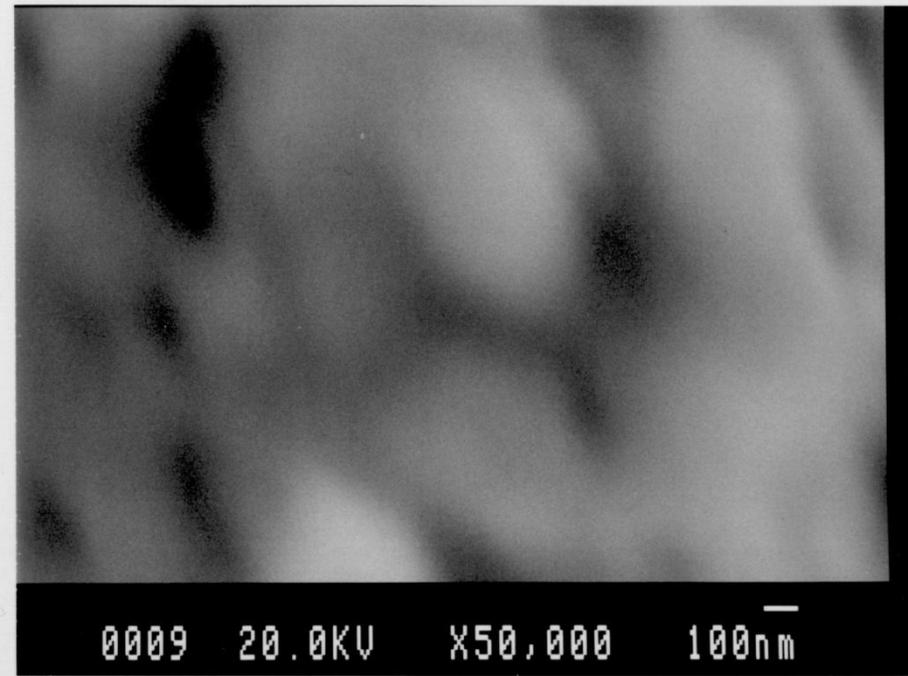
Magnification:  $\times 100$  SEM Image

After experiments, Pd black powders **stuck** to be bigger sizes  $\rightarrow$  Decrease of active surface area

Before Runs



After Runs



Magnification:  $\times 50,000$  SEM Image

Before experiment, surface was fractal in nano-scale.

After experiment, surface became flatter in about 10 times larger scale  $\rightarrow$  Decrease of active surface area

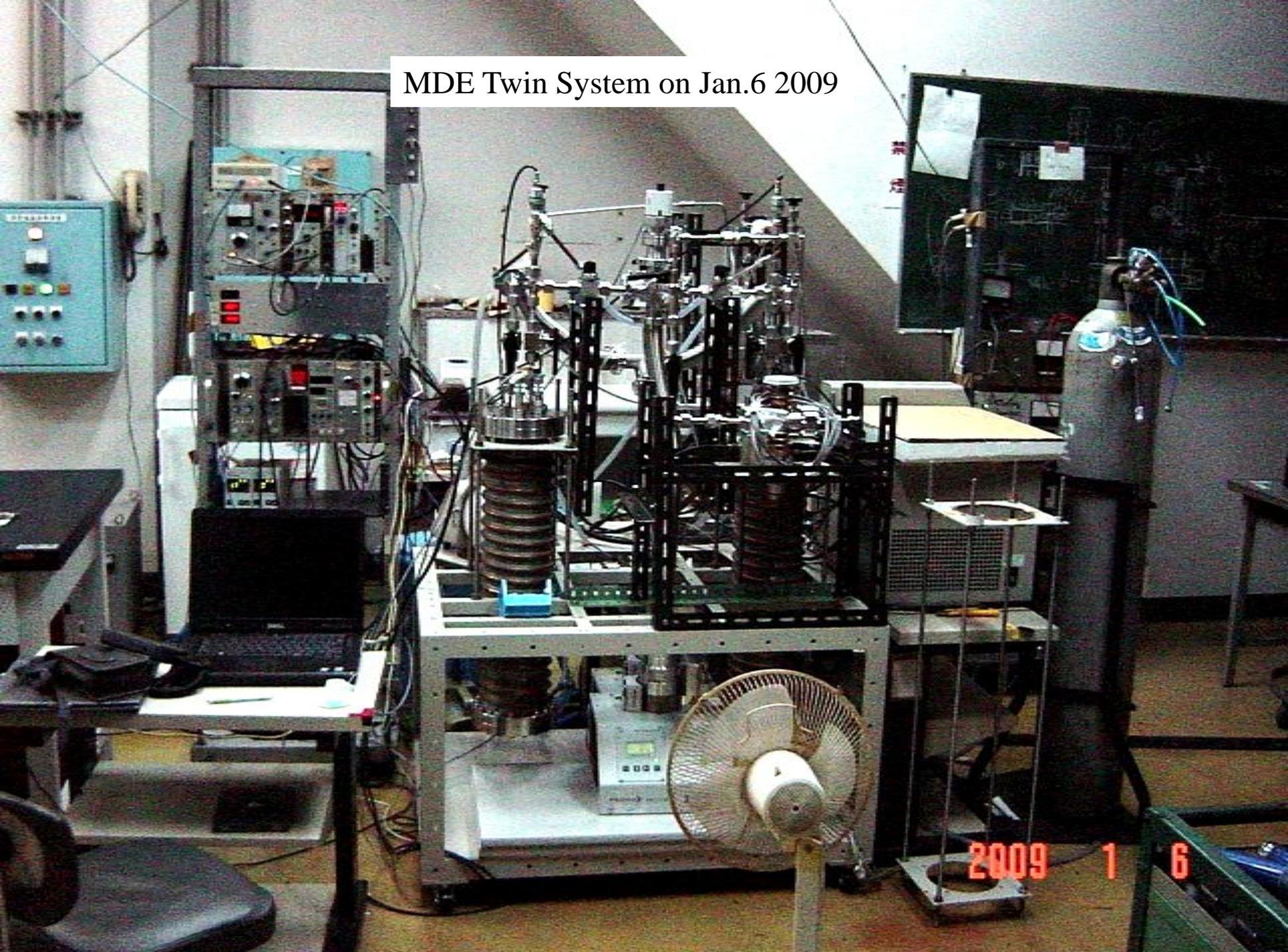
# Summary for Pd-black

- There were seen excess heat in the 1<sup>st</sup> and 2<sup>nd</sup> phases, but smaller than those by Pd/ZrO<sub>2</sub>
- Pd-black powders **stuck to be bigger sizes** (about 10 times diameter by SEM observation), after the #1 run.
- This is **the Clumping-Together-Effect** of nano-powders.
- In the #2 run and following runs, no significant excess heat was seen.
- D/Pd ratio for #1 was 0.78 in the pressure zero condition under D-charge.
- D/Pd ratios for #2 and later runs, D/Pd was about 0.23 (much smaller).
- No transmuted elements were seen by PIXE.

# Supplement Data 2

- Photographs of experimental systems
- A<sub>0</sub> System
- A<sub>1</sub> and A<sub>2</sub> Twin system

MDE Twin System on Jan.6 2009



Pd-membrane A2

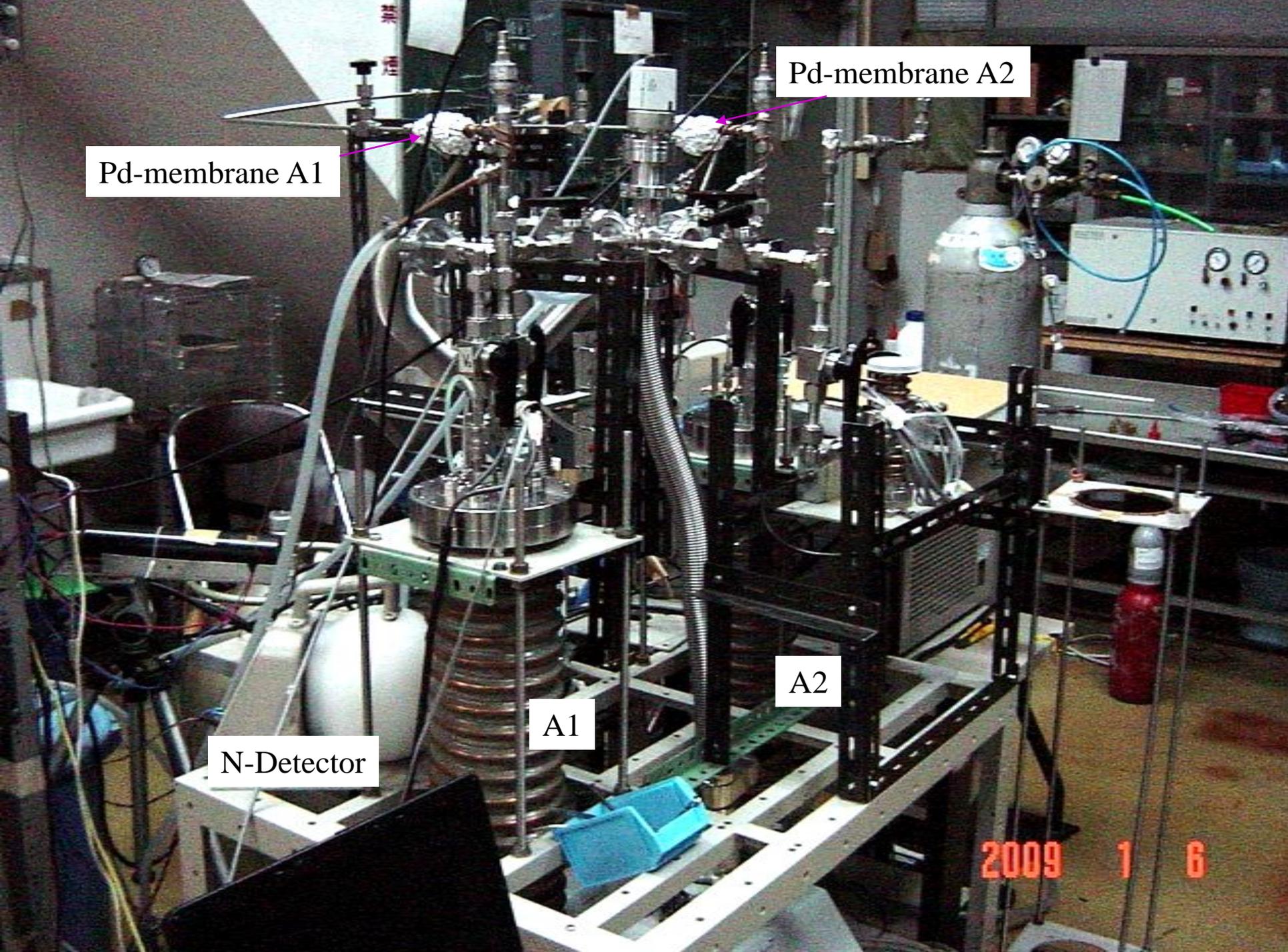
Pd-membrane A1

N-Detector

A1

A2

2009 1 6



Cold Trap

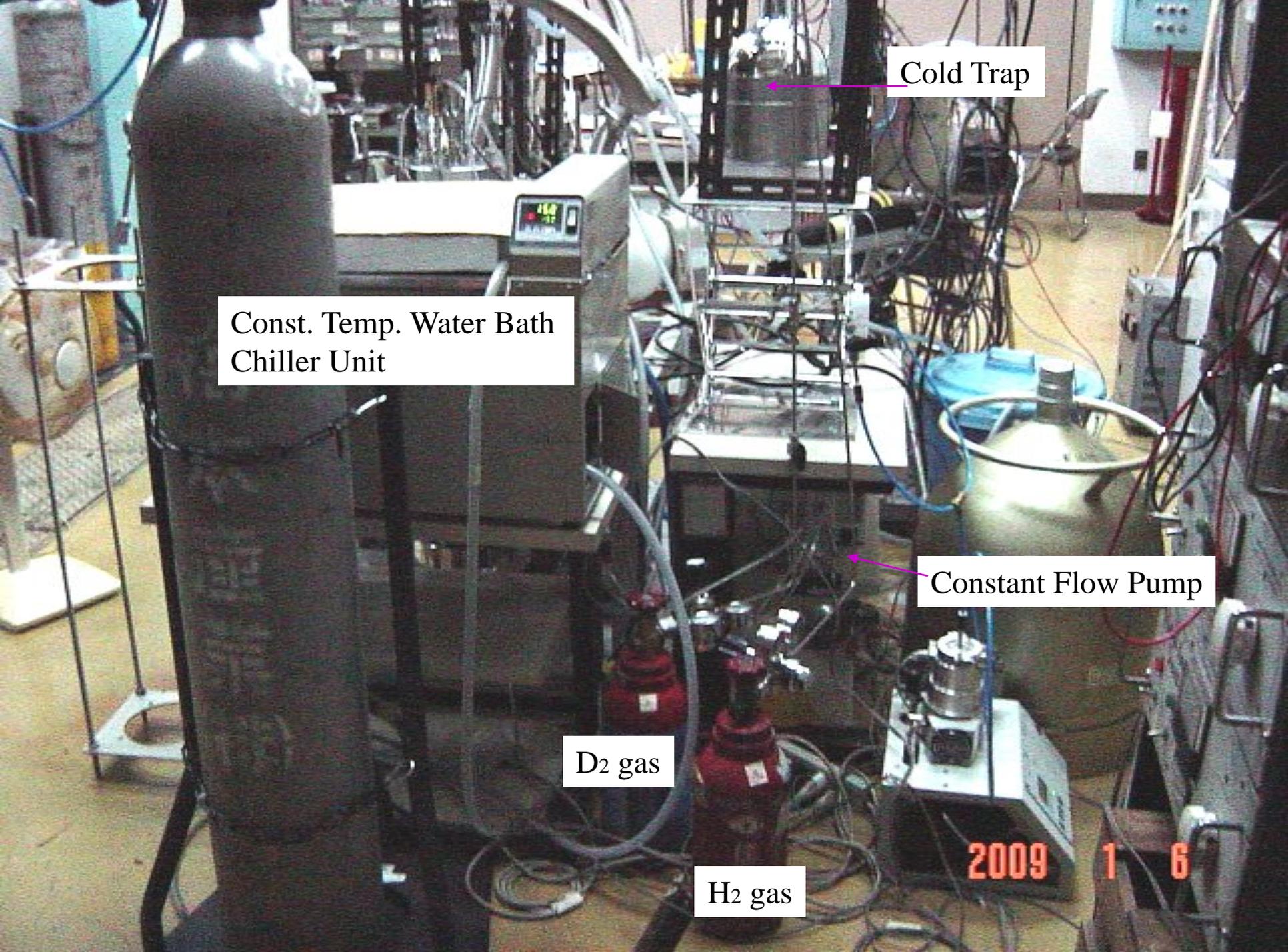
Const. Temp. Water Bath  
Chiller Unit

Constant Flow Pump

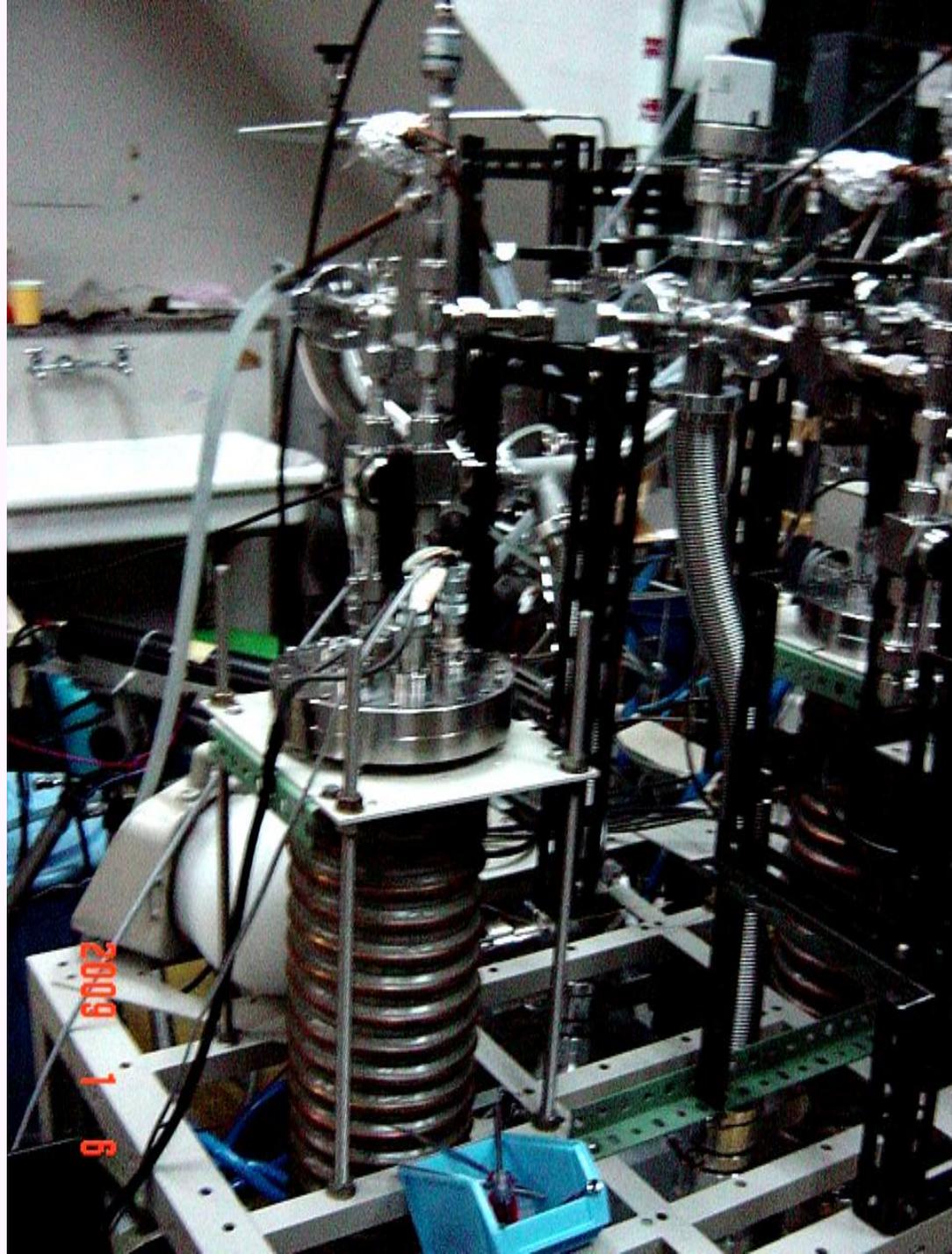
D<sub>2</sub> gas

H<sub>2</sub> gas

2009 1 6



A1 System





B System  
Under  
Construction

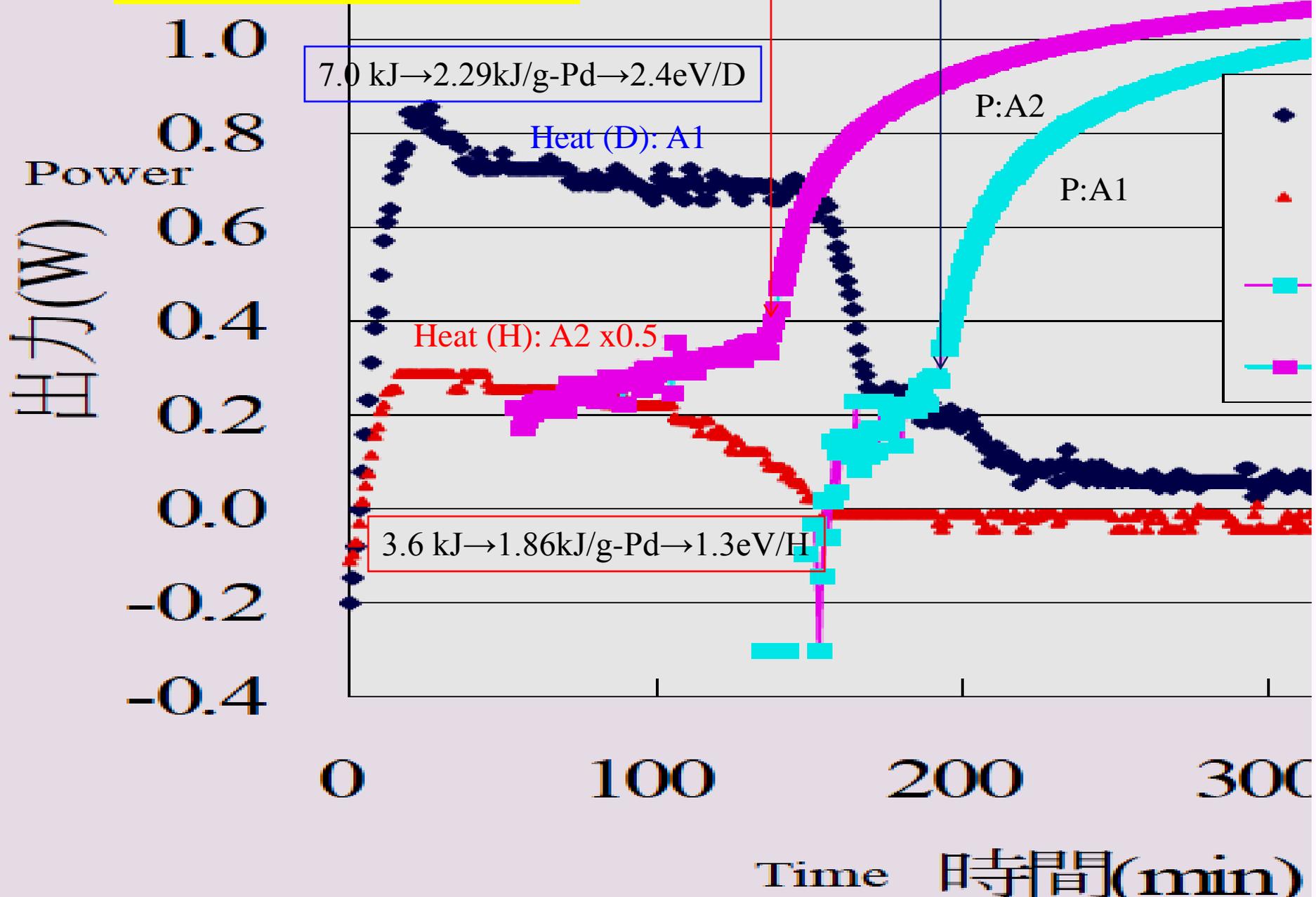
# Supplement Data 3

- Detail data for PZ-series runs  
(PZ= $\text{PdZrO}_2$  nano-composite powder)
- Reproducibility of Phase-1 and Phase-2 Data
- Comparison with Arata-Zhang Data

Santoku 1#1 Expanded View  
For 1<sup>st</sup> phase

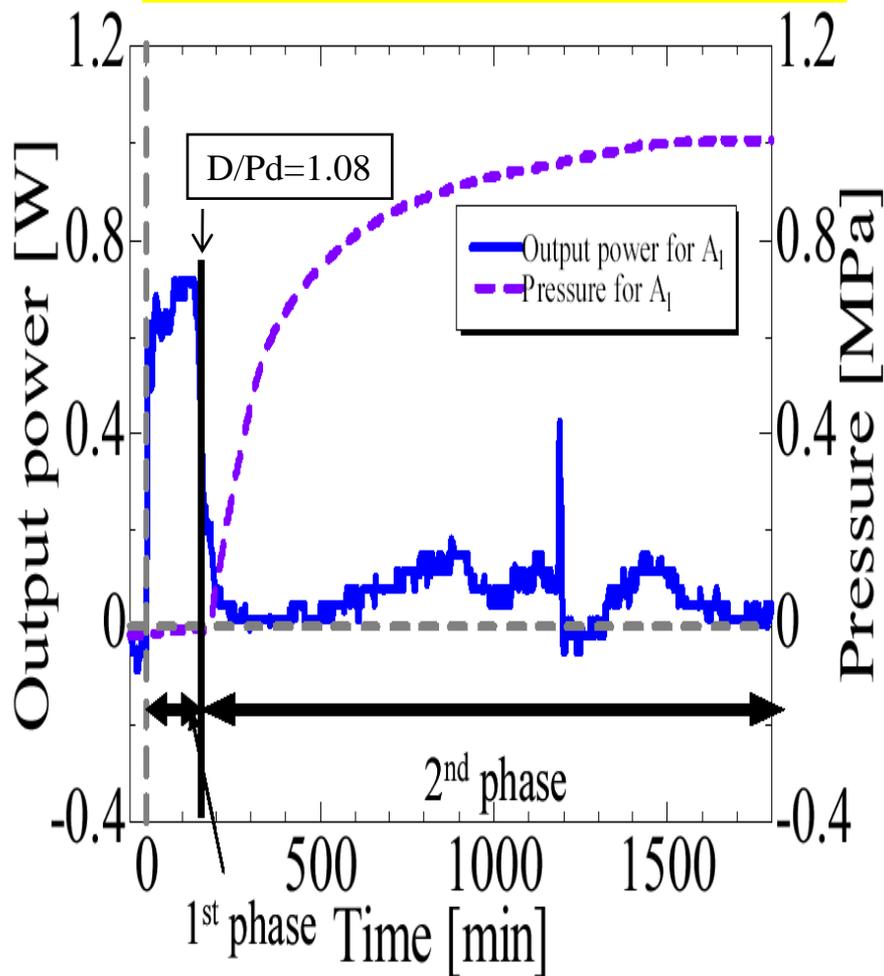
A2 first phase end:  
H/Pd=1.0

A1 first phase end:  
D/Pd=1.08



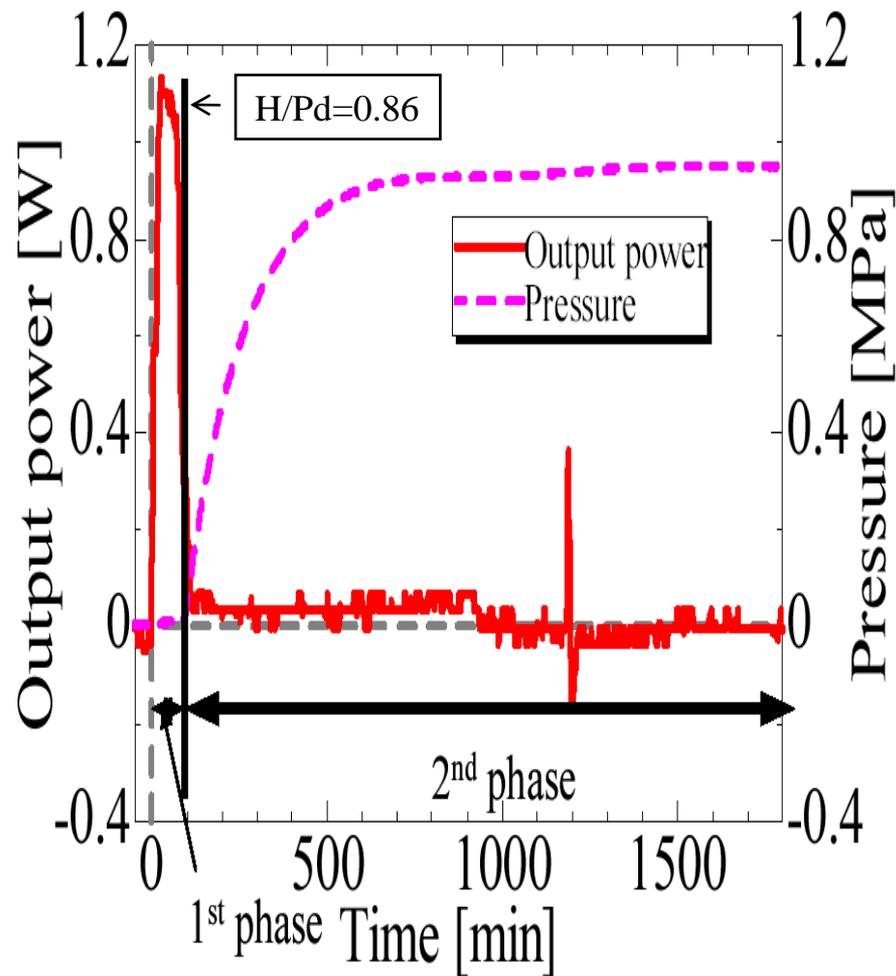
# Results of Santoku2#1 run

A1: D-gas Charge with 1.9 sccm



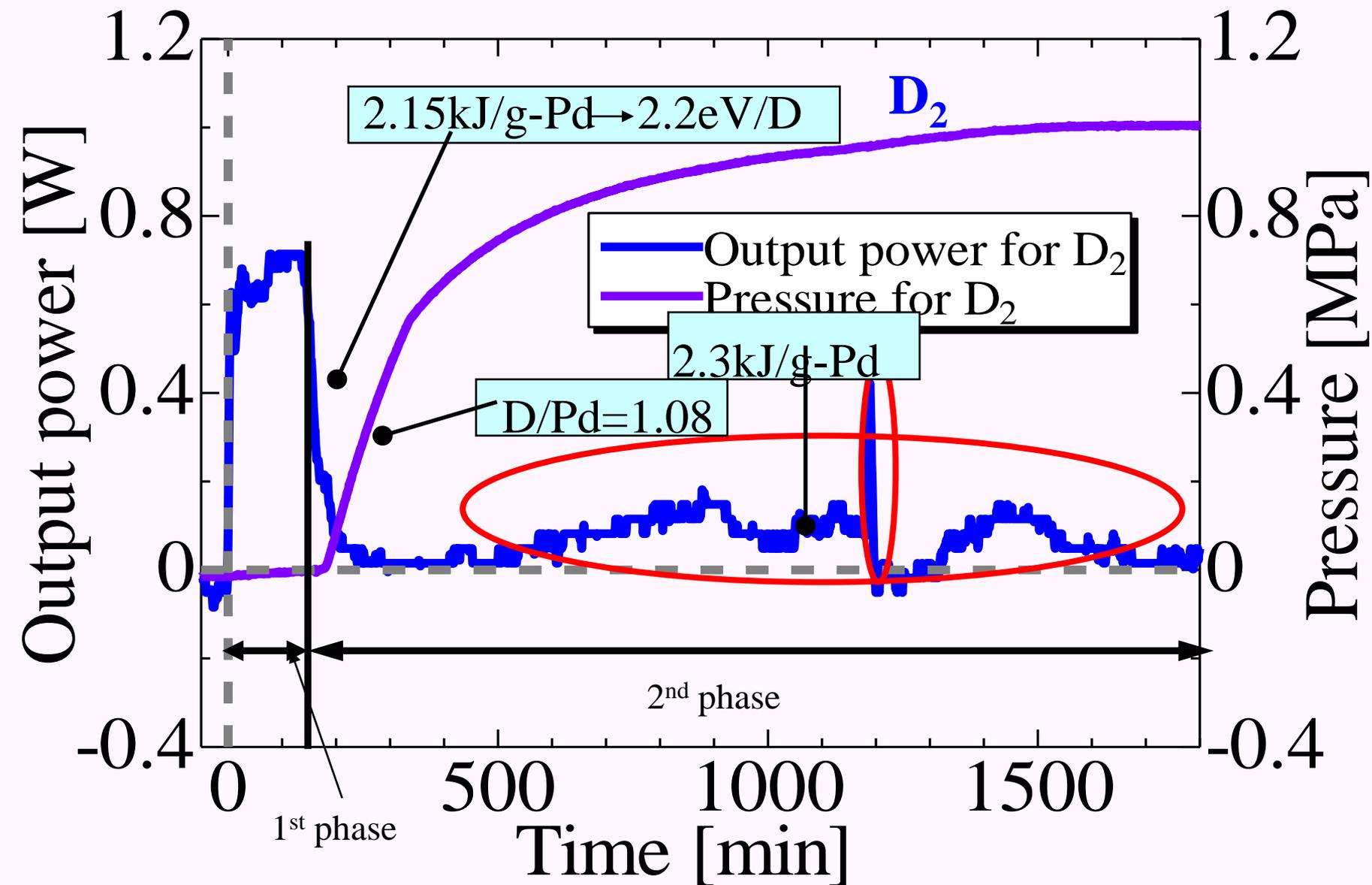
D-gas Charging to Santoku2#1

A2: H-gas Charge with 3.6 sccm

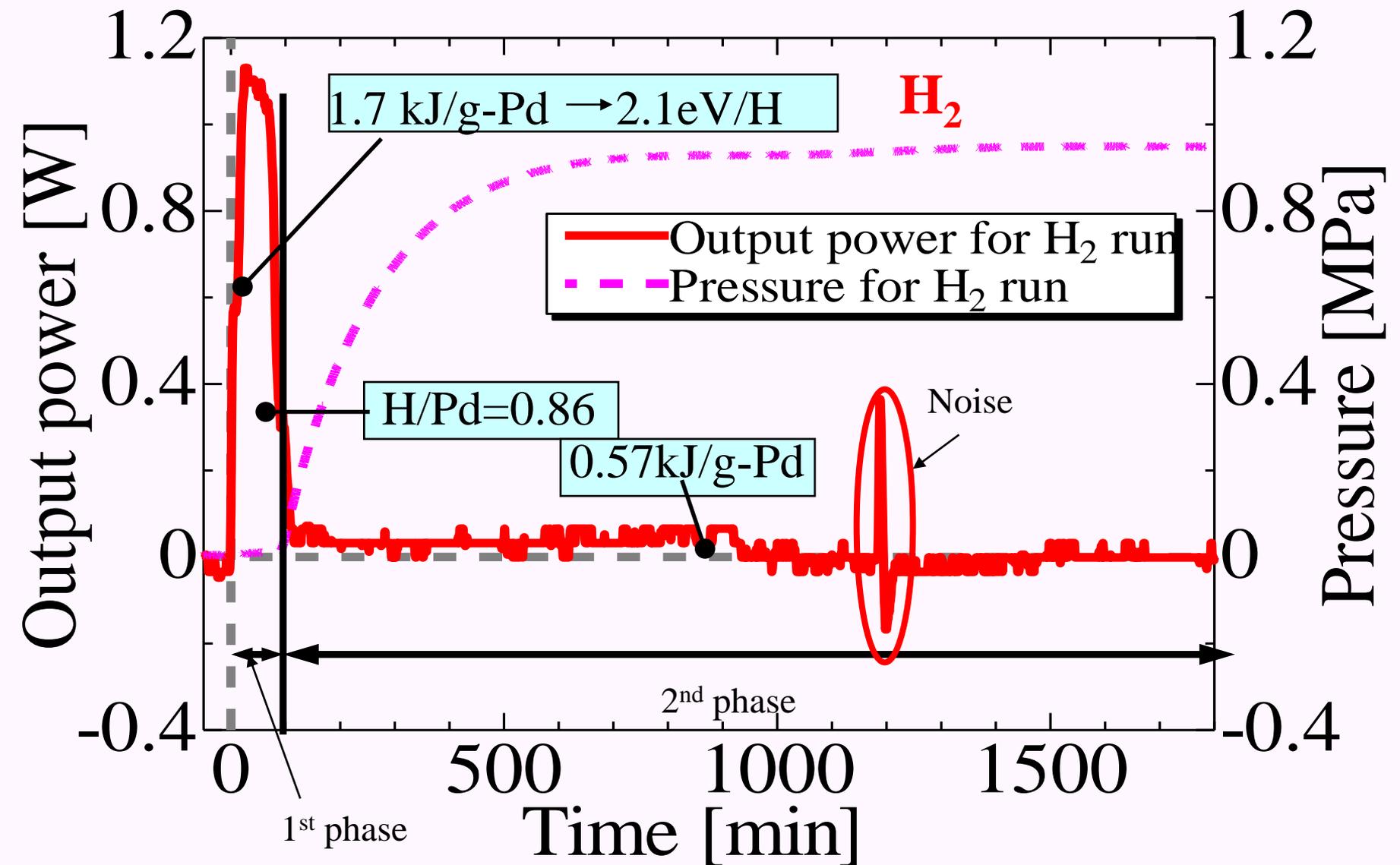


H-gas Charging to Santoku2#1

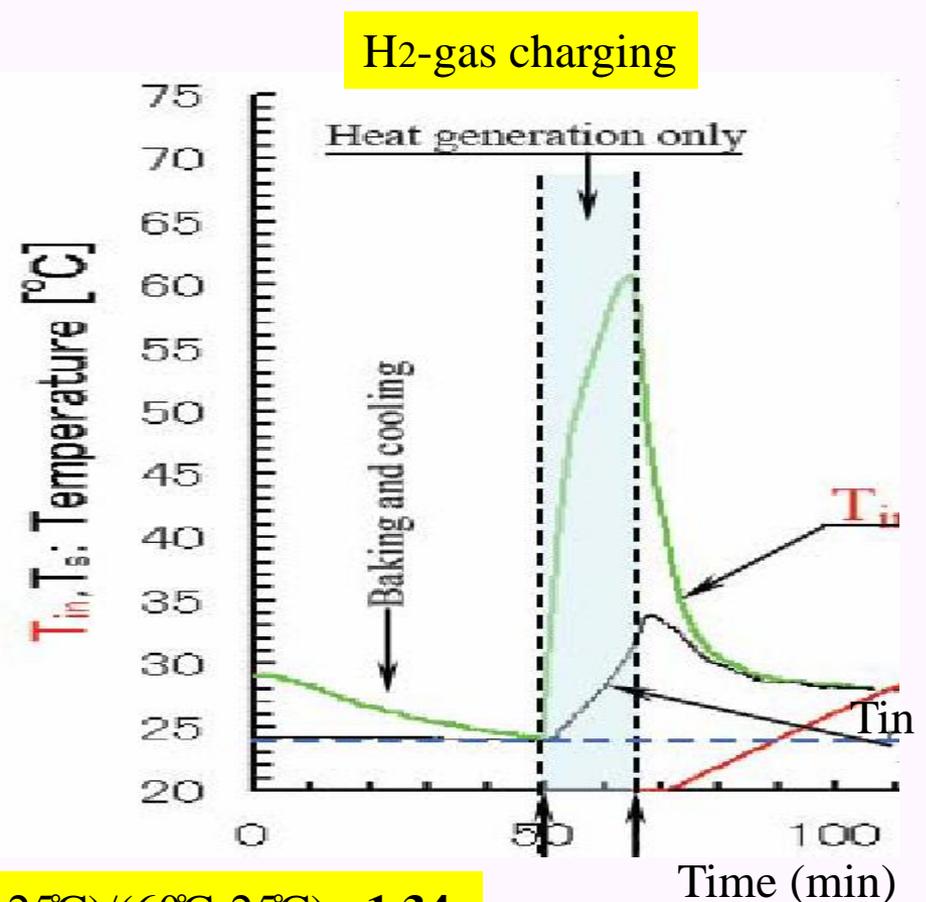
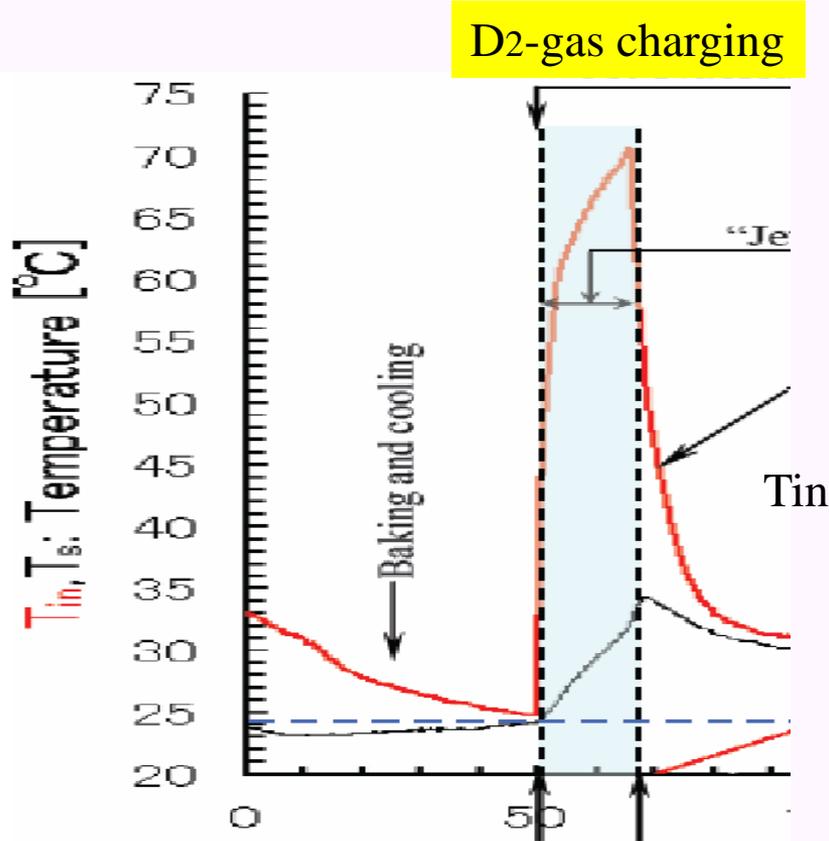
# Pd·ZrO<sub>2</sub> Santoku 2 #1 Run (A1) for D<sub>2</sub> gas Charge



# Pd·ZrO<sub>2</sub> Santoku 2 #1 Run (A2) for H<sub>2</sub> gas Charge



# Arata-Zhang's 1<sup>st</sup> Phase Data for Pd/ZrO<sub>2</sub> (7g)



**Arata-Zhang:**  $[\text{Heat(D)}/\text{Heat(H)}] \sim (72\text{C}-25\text{C})/(60\text{C}-25\text{C}) = 1.34$

**Our Exp. Santoku1:**  $[\text{Heat(D)}/\text{Heat(H)}] = (7.0\text{kJ})/(3.6\text{kJ}) = 1.94$

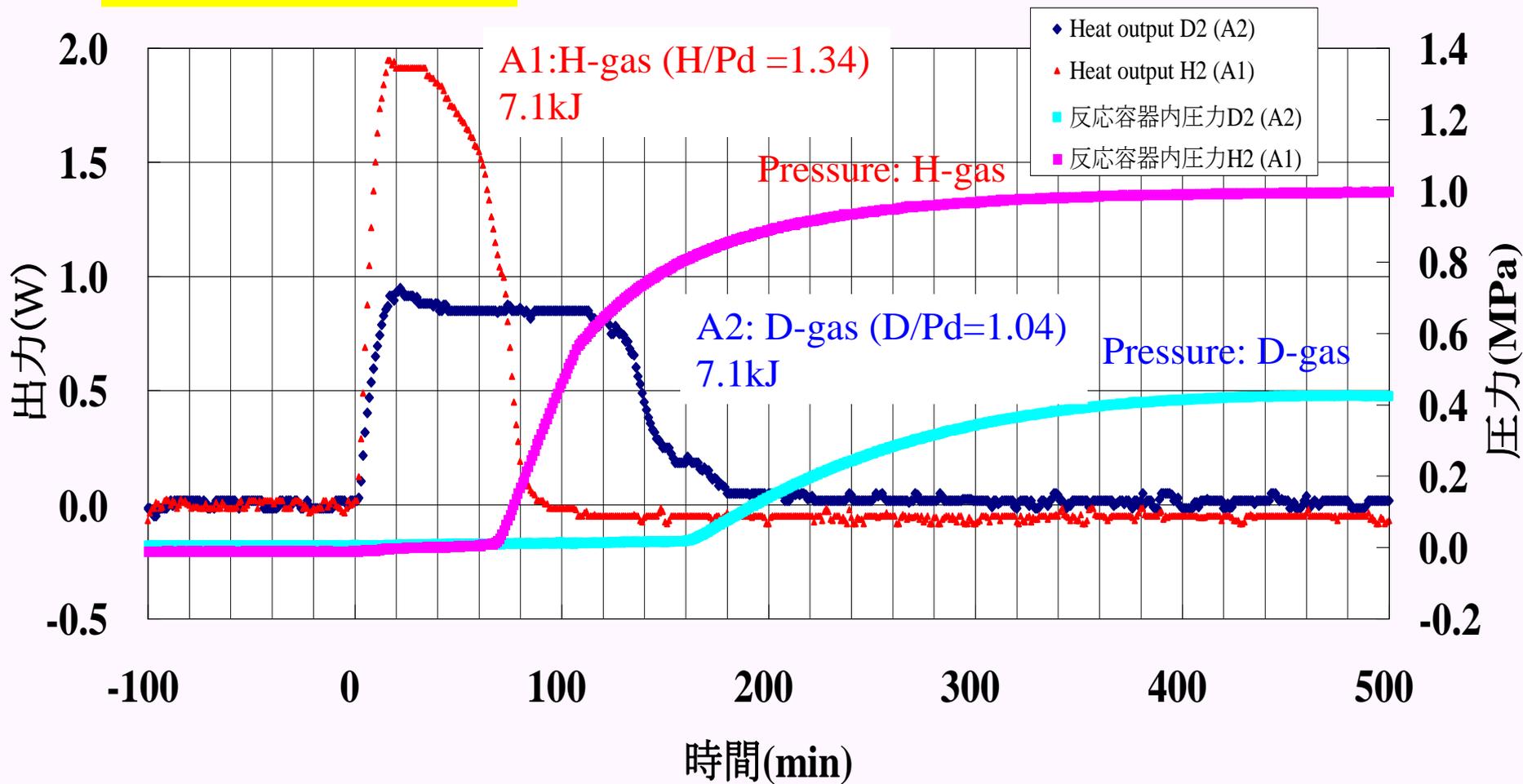
**Our Exp. Santoku2:**  $[\text{Heat(D)}/\text{Heat(H)}] = (6.4\text{kJ})/(5.1\text{kJ}) = 1.26$

**Agreed!**

# Results by Large H-Flow Rate And Low D-Gas Pressure

A1: H-gas, Flow Rate = 5.9 sccm  
A2: D-gas, Flow Rate = 2.0 sccm

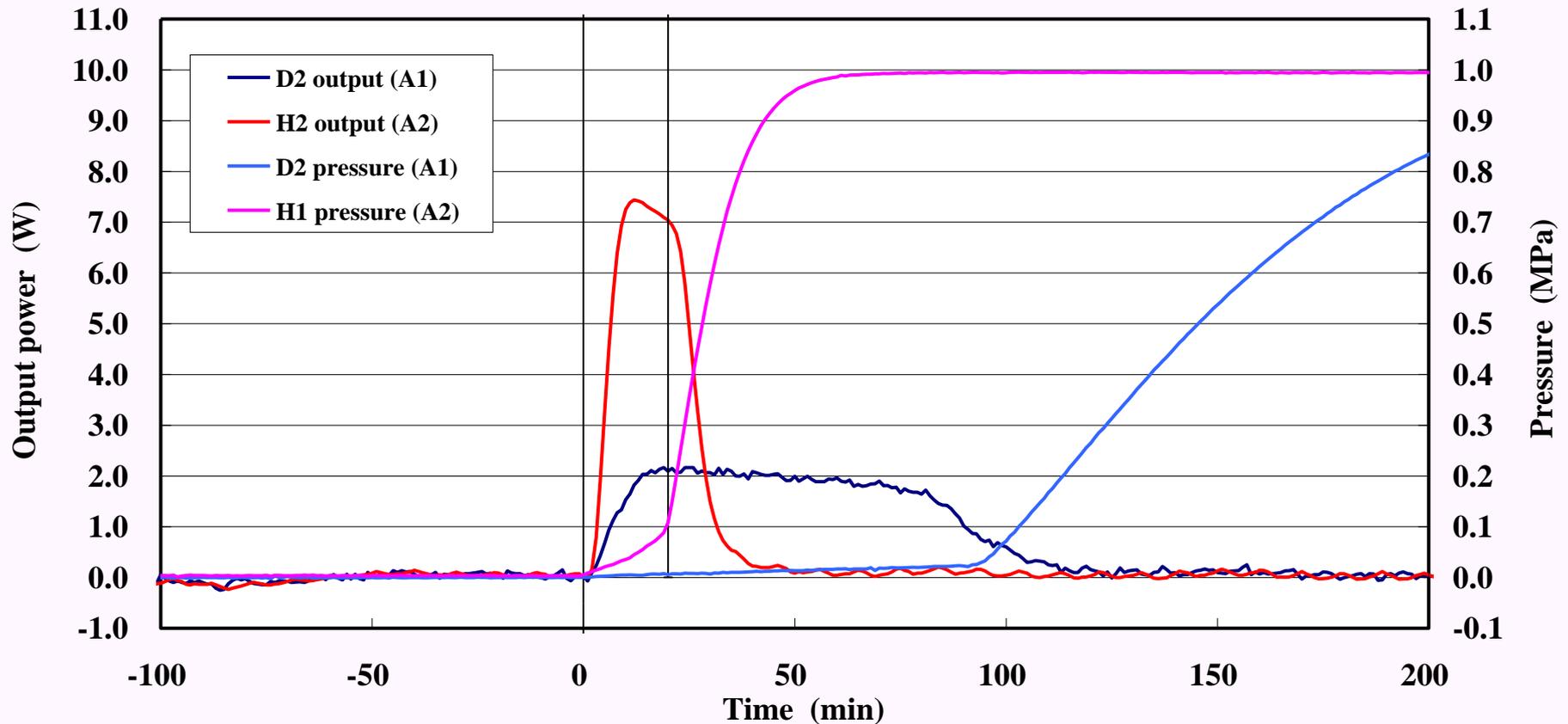
## Santoku 3#1 Run



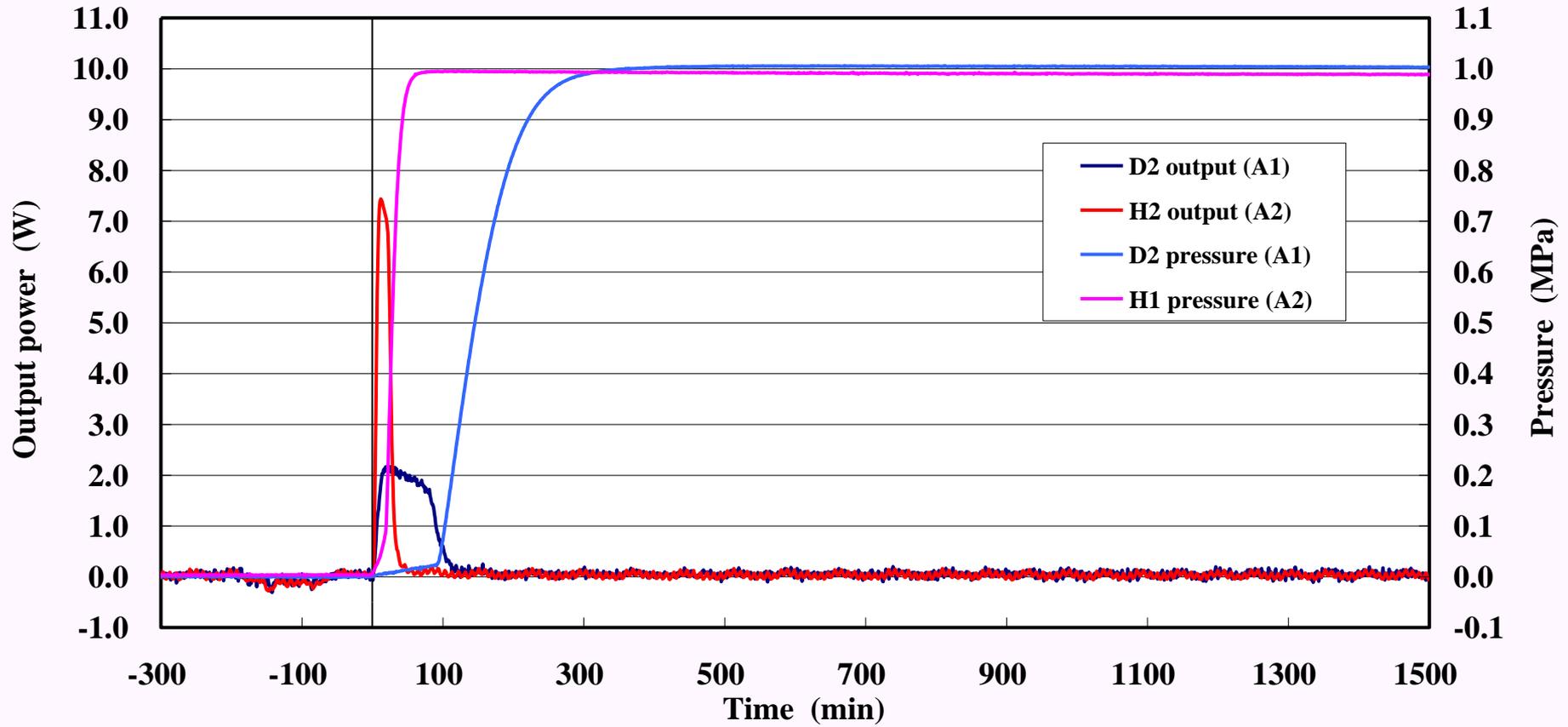
D-PZ9#1 vs. H-PZ10#1 :-100~300min

Flow Rates: 6.42 (D), 20.5 (H) sccm

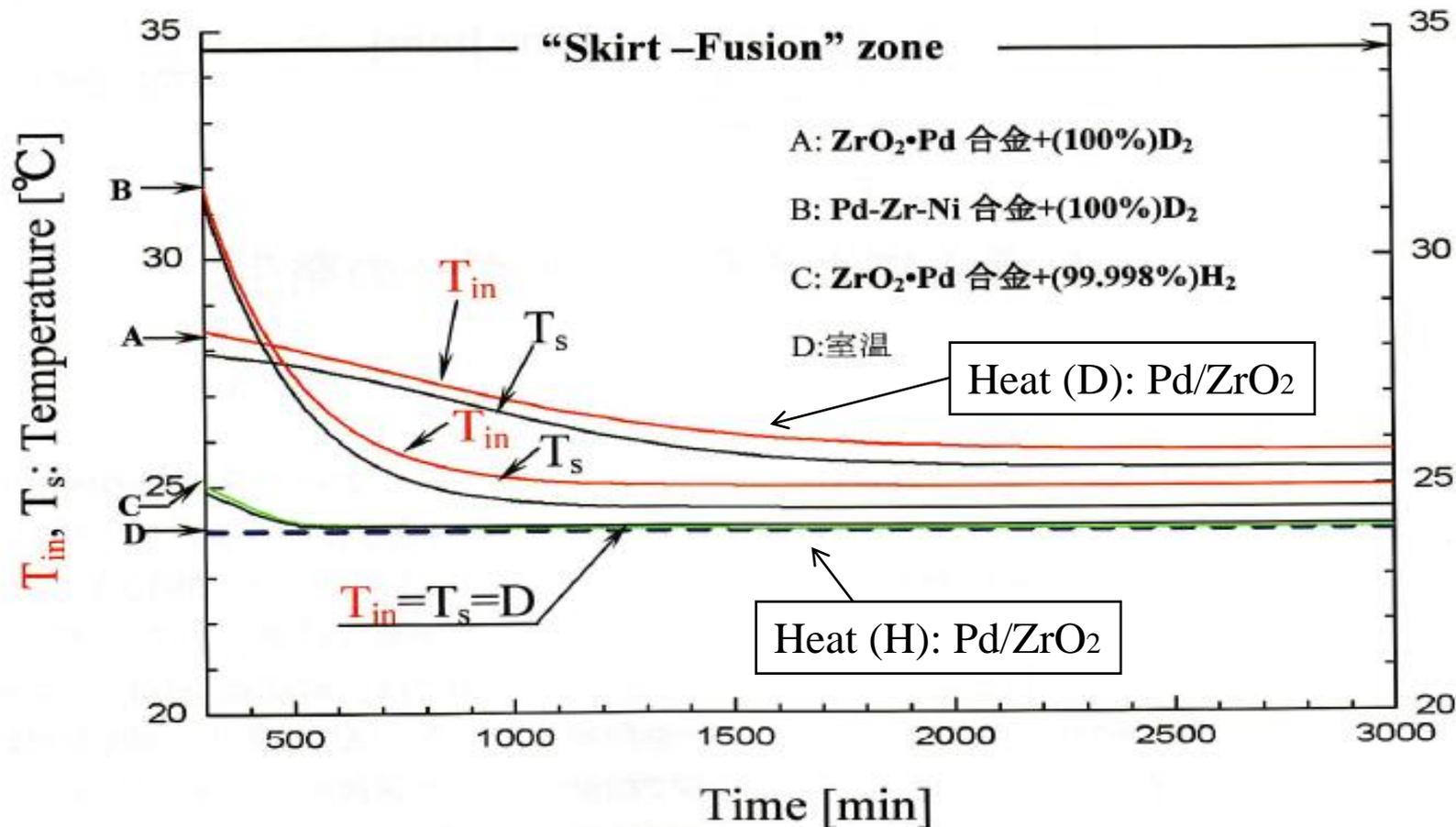
Sample net Pd weight: 4.2g



# D-PZ9#1 vs. H-PZ10#1

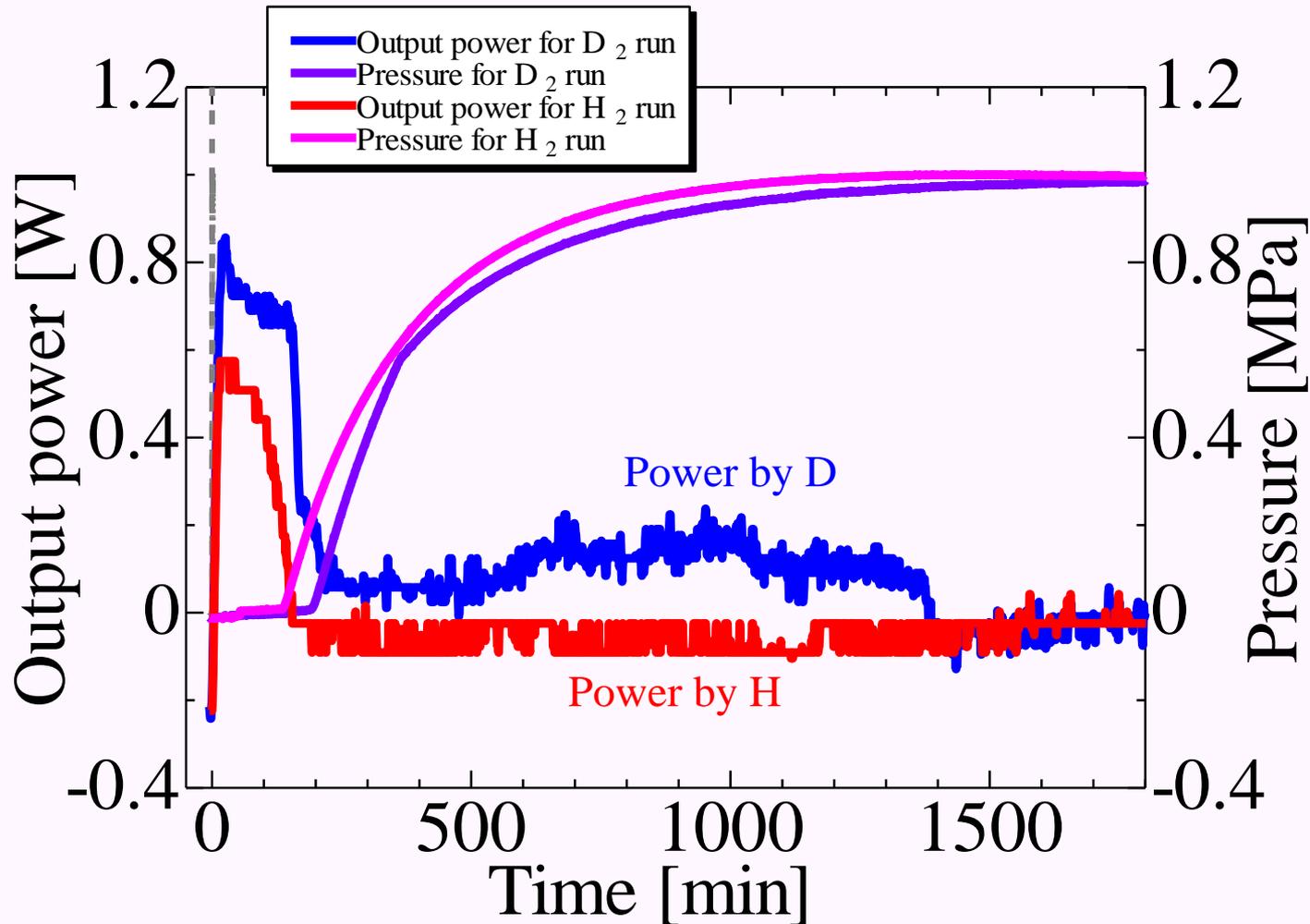


**Long time lasting heat by D-charge without input power:  
29.2kJ Total by 24.4g Pd/ZrO<sub>2</sub> sample**



**Fig.5B “Skirt-Fusion” zone における各燃料にたいする Nuclear fusion の発生特性の比較(after 300min)**

For 1<sup>st</sup> phase: 7.0 kJ by D and 3.6kJ by H; 3.4KJ net excess heat  
For 2<sup>nd</sup> phase: 6.8kJ by D  
Total Excess Heat = 10.2kJ  $\rightarrow$  3.4kJ/g-Pd



Discussions on Total Excess Heat Rates for #1 Runs:  
Our results are comparable to Arata's.

- **Arata-Zhang Exp.**

- Sample Pd/ZrO<sub>2</sub> by Fukuda P. M. Co.

weight: 24.4 g

net Pd weight: 7.7 g

- Observed Excess Heat (0-3000min): 29.2 kJ
- Excess Heat Rate:

**3.79 (kJ/g-Pd)**

**(Anomalous heat by H about 1 kJ/g inclusive)**

→ **Net Value ~ 2.8(kJ/g-Pd)**

- **Our Exp.**

- Sample Pd/PdO/ZrO<sub>2</sub> by Santoku Co.

weight: 10 g

net Pd weight: 4.3 g

- Observed Excess Heat (Example for S2, 0-3000min)  
S2:  $(6.4-5.1)+7.0 = 8.3 \pm 1.2$  kJ

- Excess Heat Rate:

**S1:  $3.78 \pm 0.30$  (kJ/g-Pd)**

**S2:  $2.77 \pm 0.30$**

# TEM Image of Used Pd/ZrO<sub>2</sub> Sample

taken by R. Duncan 2009

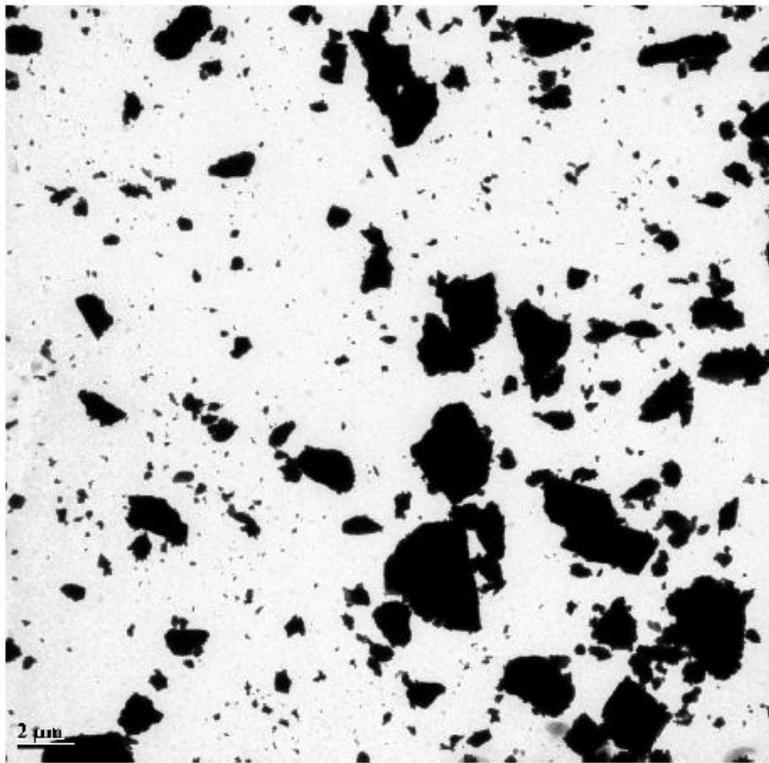


Image at 800 X magnification (scale size 2  $\mu\text{m}$ )

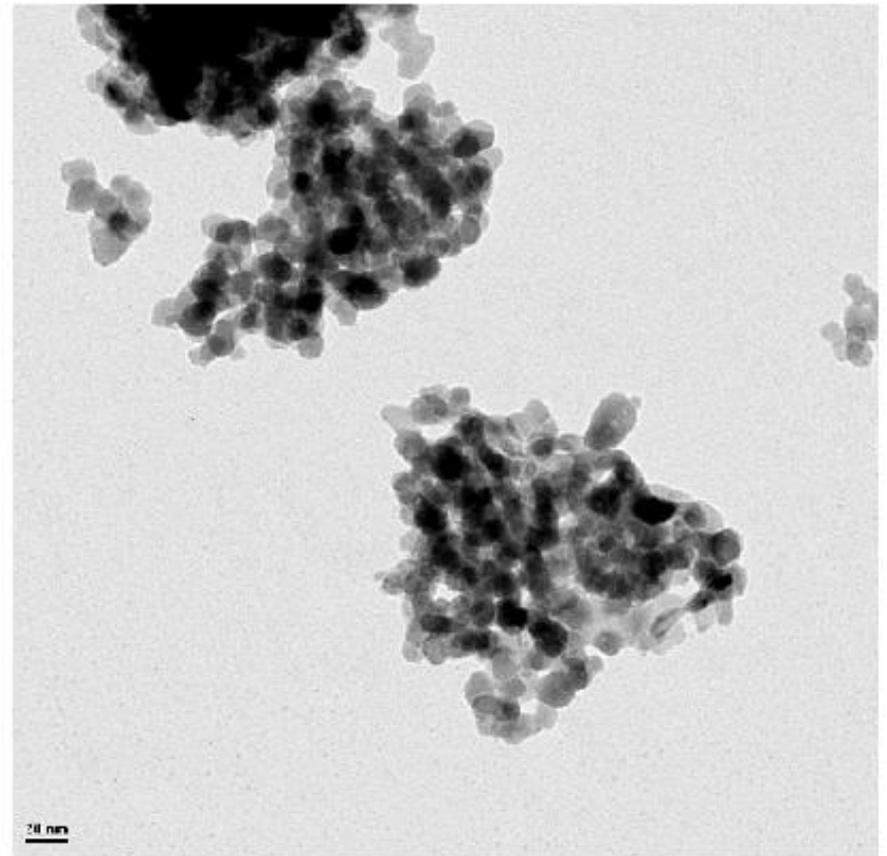
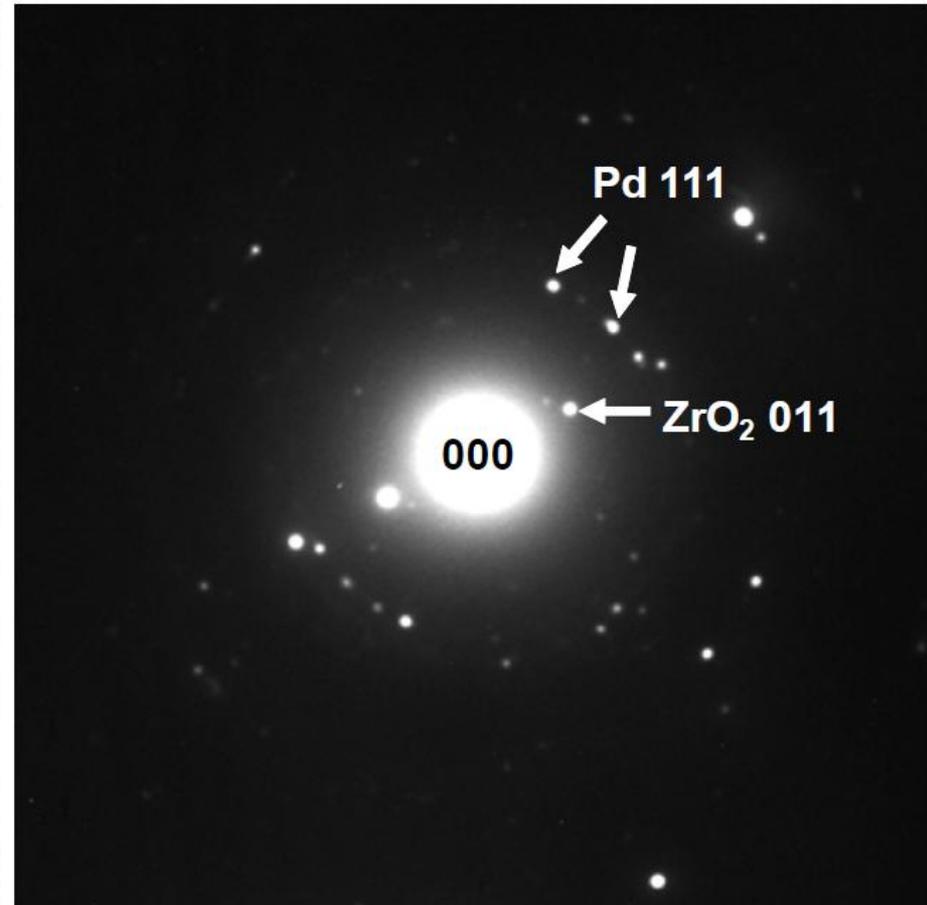
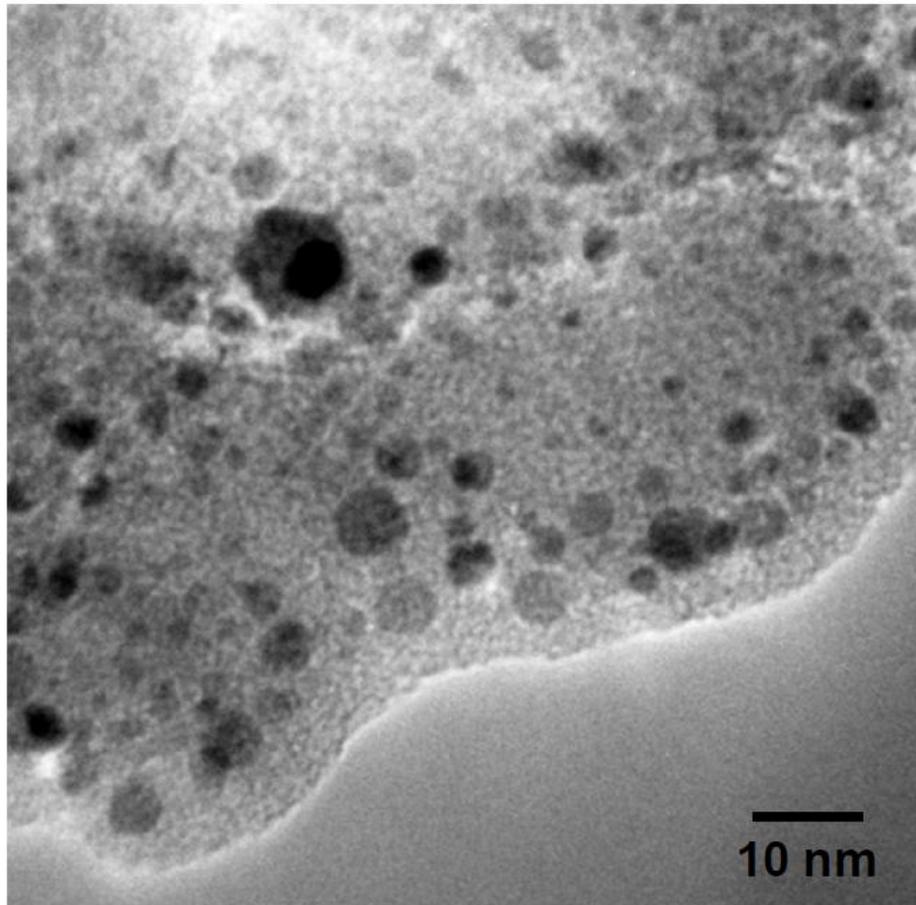


Image at 50000 X magnification (scale size 20 nm)

# TEM Image of Pd/ZrO<sub>2</sub> Sample

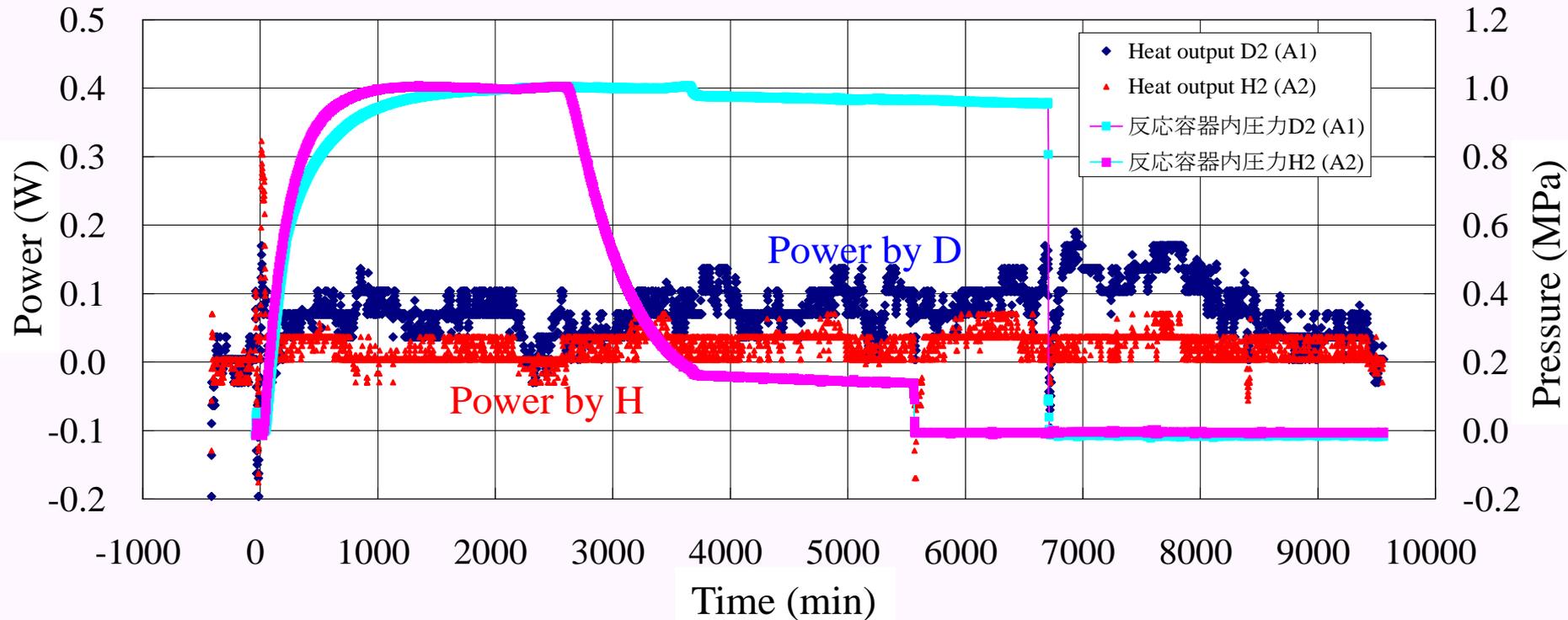


# Supplement Data 4

## :Reuse of PZ Samples

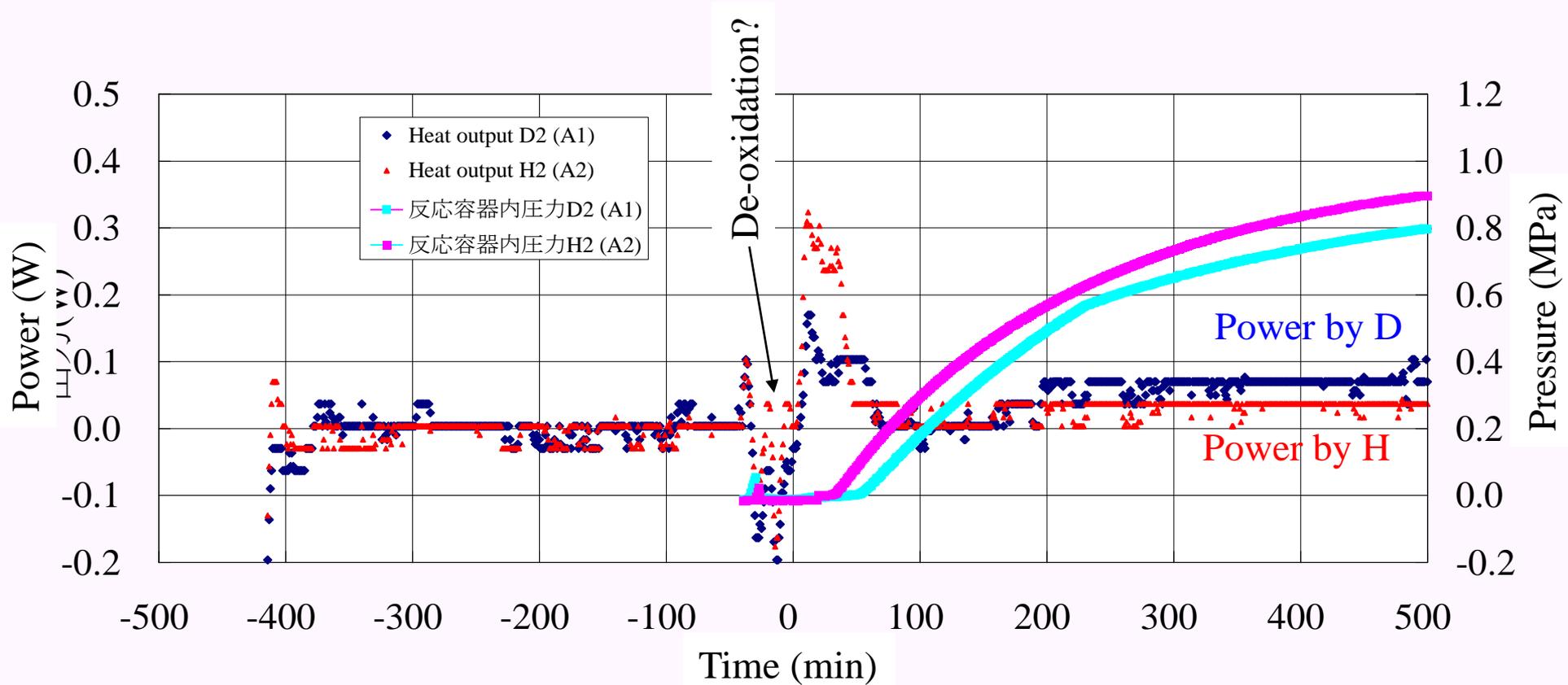
- #2, #3 Runs
- “Heat after Death?”

# Reuse of Sample: Long Time Lasting Excess Heat by Run: Santoku 2#2

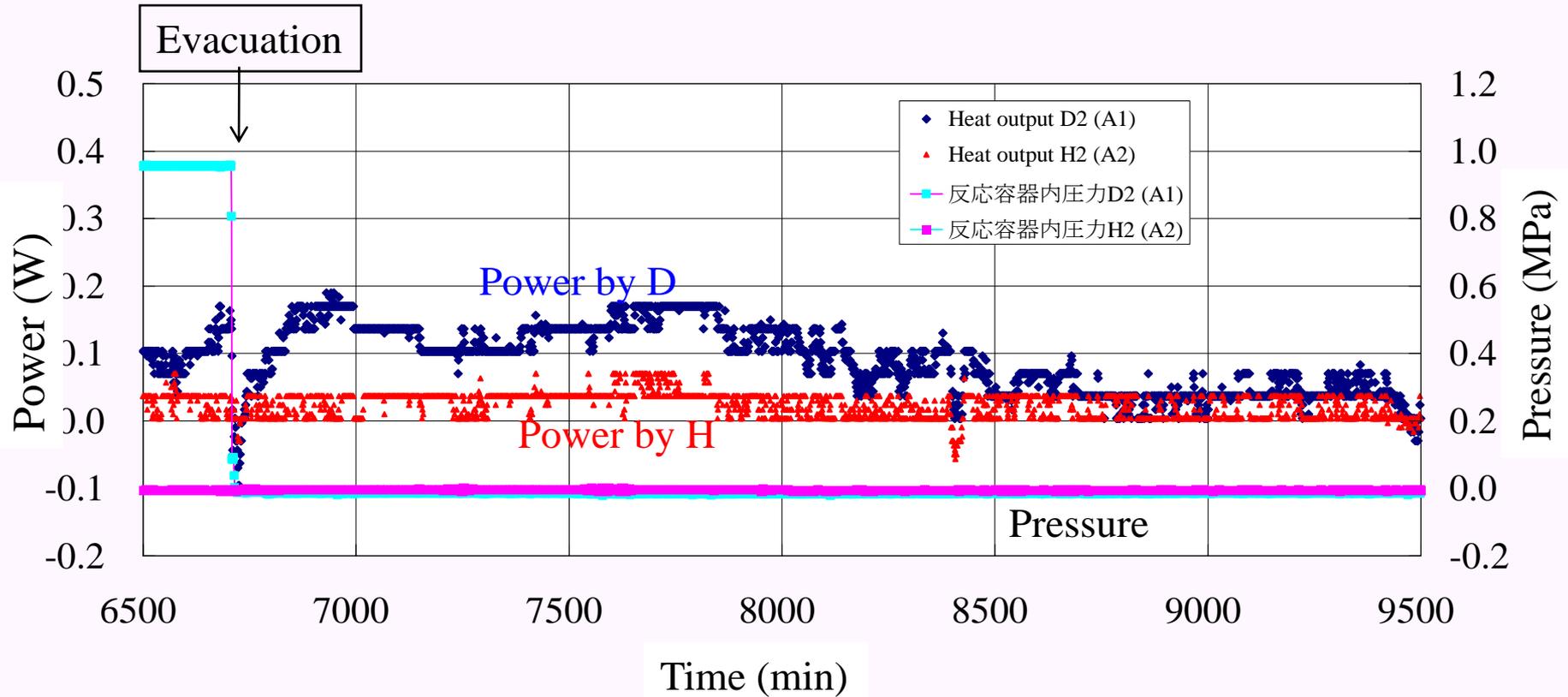


run	Gas	Measured flow rate [sccm]	1st phase [kJ]	2nd phase [kJ]	1st phase [J/g]	2nd phase [J/g]	D/Pd or H/Pd
D <sub>2</sub> -2-2	D	3.87	0.17±0.03	9.89±1.48	40±7.0	2300±345	0.47
H <sub>2</sub> -2-2	H	3.62	0.58±0.05	1.68±1.46	136±10.9	391±341	0.28

# Run: Santoku 2#2: Expanded View of Early -Time Evolution



# Run: Santoku 2#2: Expanded View of Heat Evolution after Evacuation- “Heat after Death”

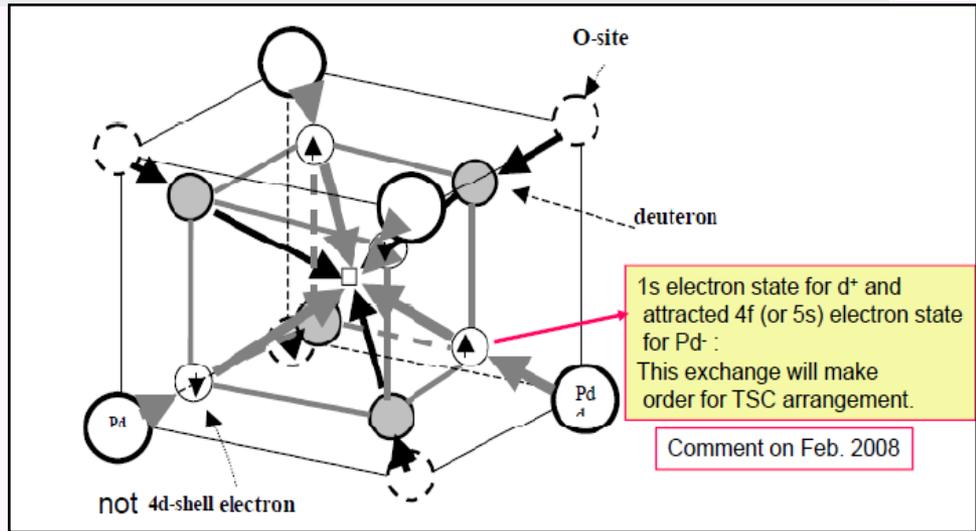
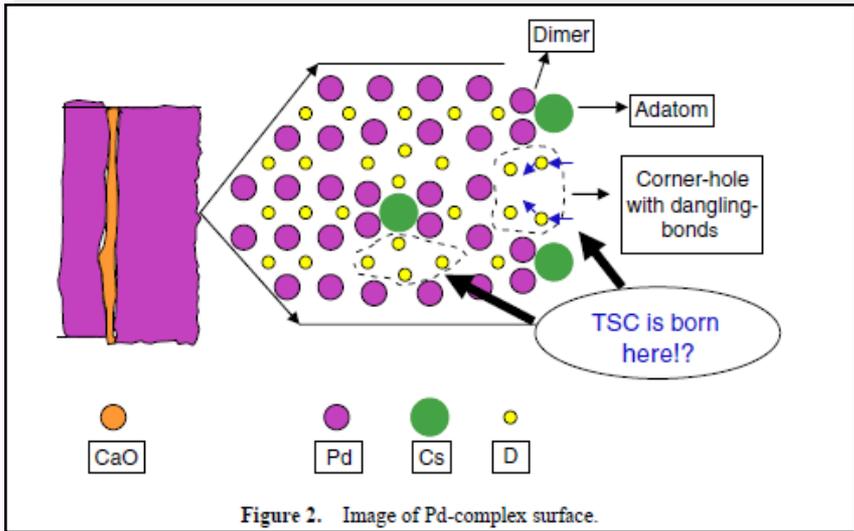
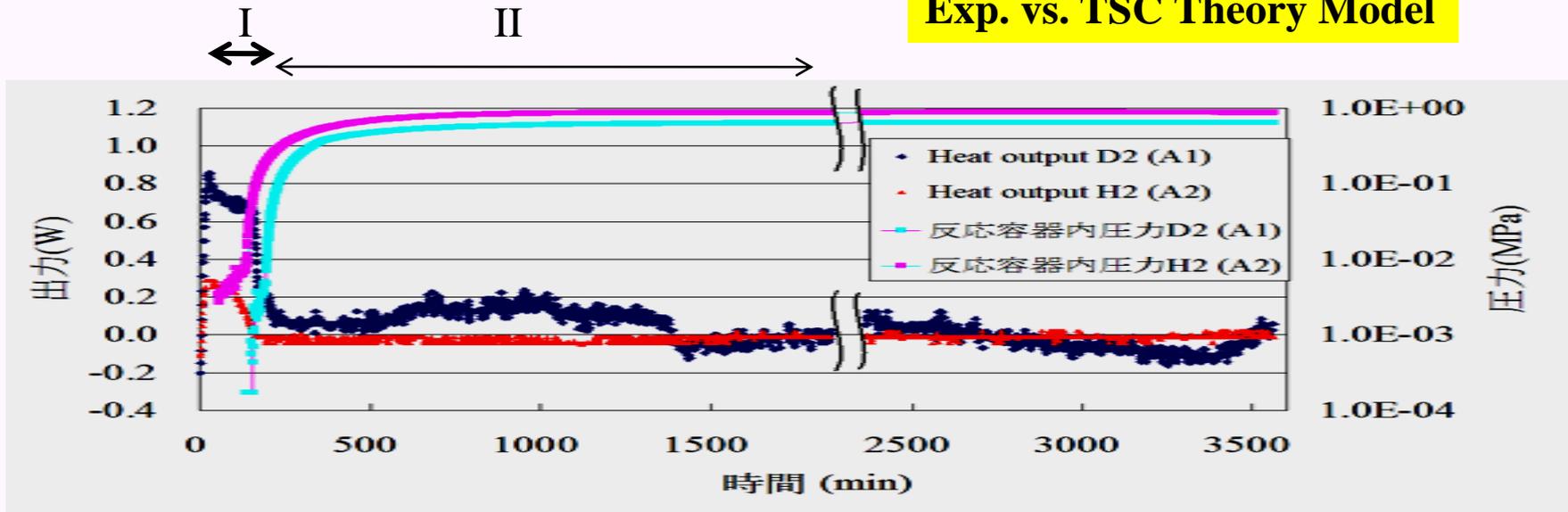


Is Evacuation STIMULUS?

# Theoretical View

- Tetrahedral Symmetric Condensate
- 4D Fusion and Products

# Exp. vs. TSC Theory Model

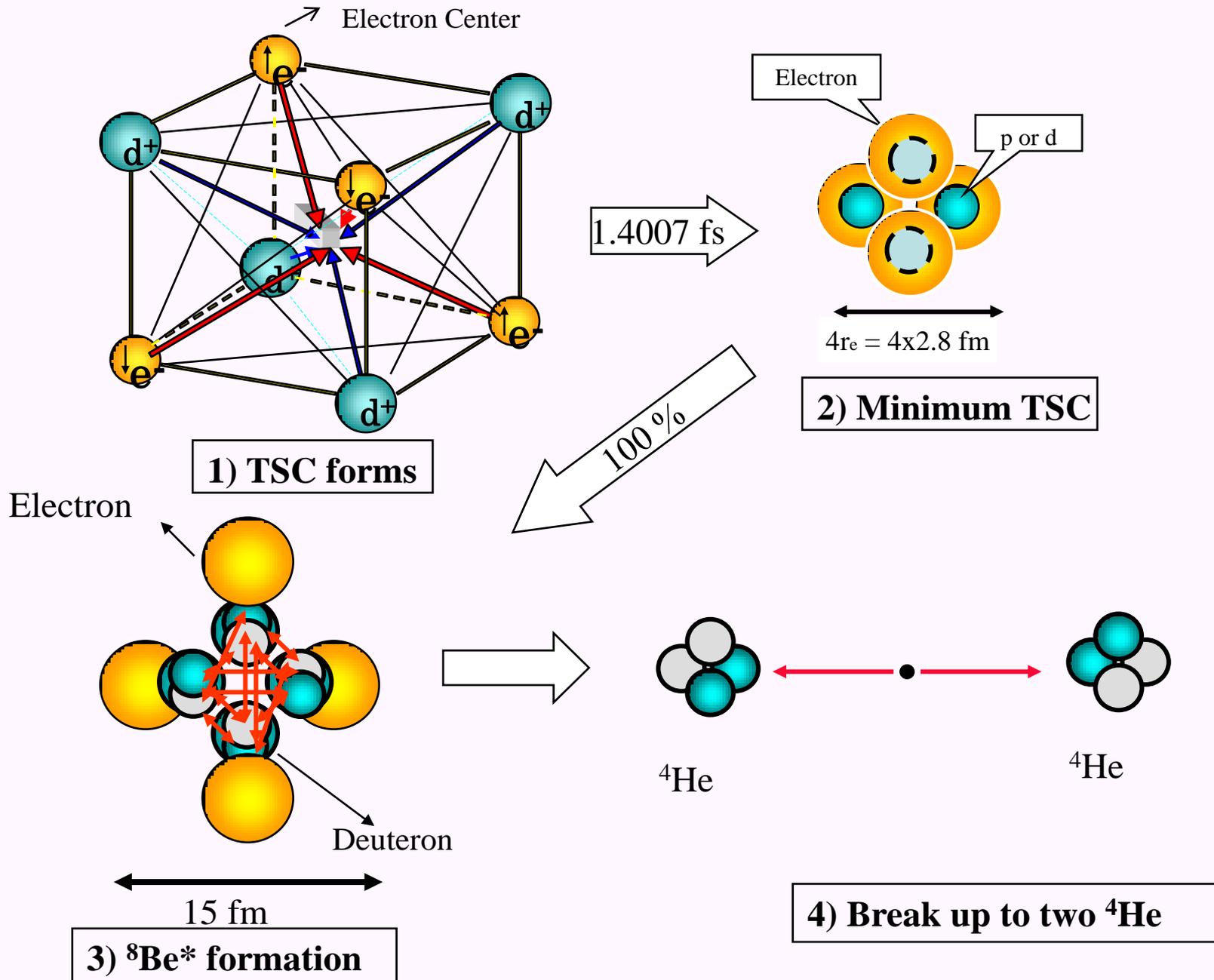


**Model b)** may correspond to **I**.

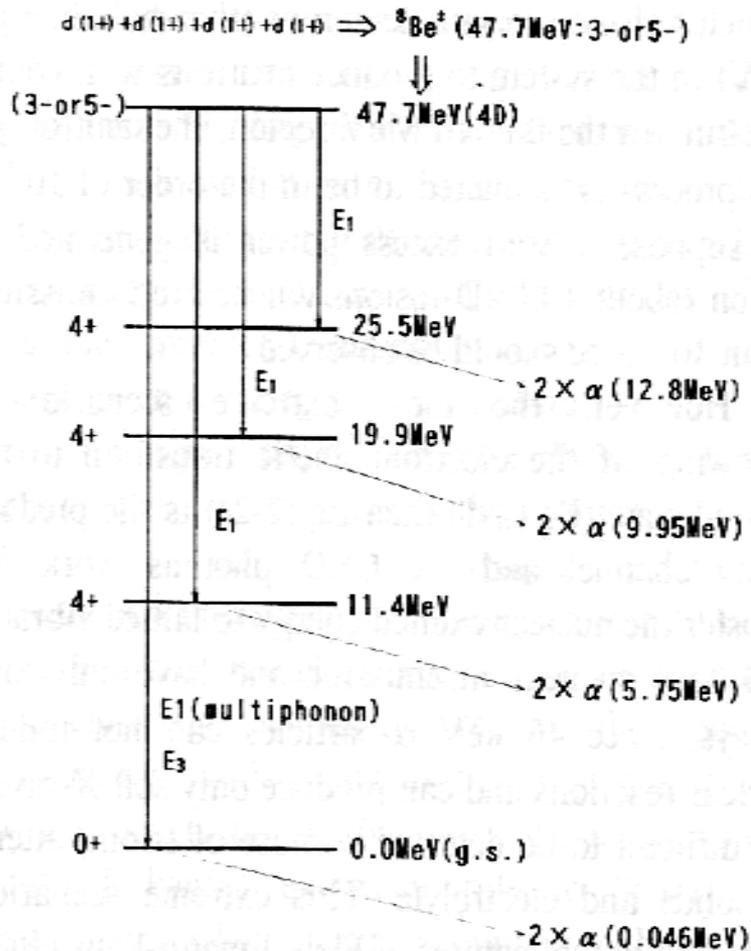
**Model a)** may correspond to **II**.



# Result of Dynamic Condensation of 4D/TSC by Langevin Equation



After A. Takahashi, Trans. Fusion Technology 1994



1. Typical decay channels of 4D fusion;  $E_1$  transition may be induced with electromagnetic energy transfer via QED photons to lattice plasma oscillation. Major nuclear products are  ${}^4\text{He}$  with specified kinetic energies.

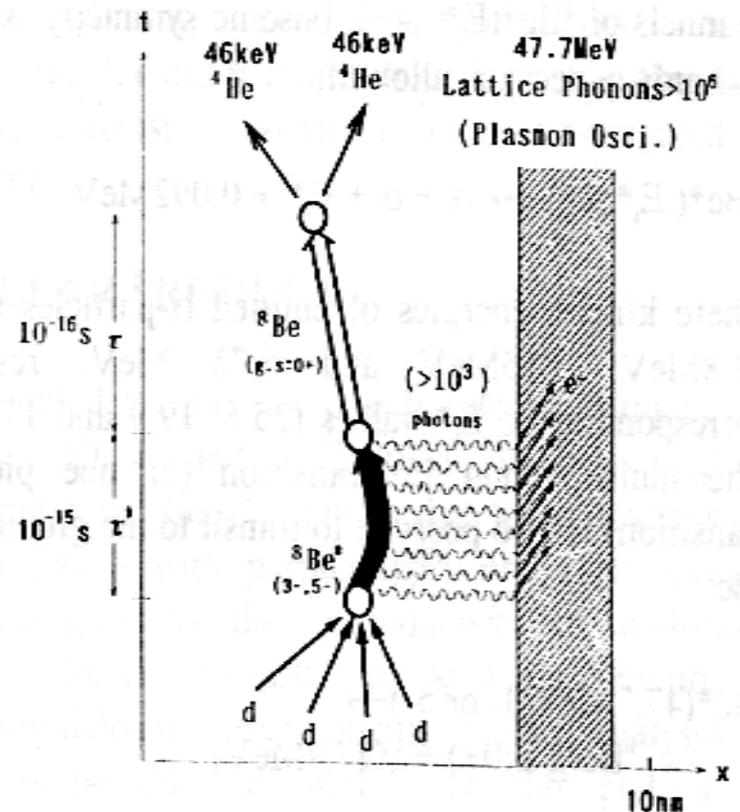


Fig.2: Illustration of extreme scenario of decay channel for 4D fusion; final nuclear products are  $46\text{keV}$   $\alpha$ -particles and most energy ( $47.7\text{MeV}$ ) is transferred to lattice vibration via QED photons.

# Channels for CP Generation by 4D

## I. Symmetric Fragmentation



- 1-1)  $Ex=0$ ;  
 ${}^4\text{He}^*(\text{gs}; 0^+, 0): 4D \rightarrow \alpha + \alpha + 47.6\text{MeV}; \mathbf{E_\alpha = 23.8\text{MeV}}$
- 1-2)  $Ex=20.21\text{MeV}$  (1<sup>st</sup> excited state of  ${}^4\text{He}$ );  
 ${}^4\text{He}^*(20.21\text{MeV}; 0^+, 0) \rightarrow p(0.6-2.2\text{MeV}) + \mathbf{t(1.8-3.4\text{MeV})}$   
+  $(Ex - 19.815 = 0.4\text{MeV}) + (3.6\text{MeV}; \text{moving } {}^4\text{He}^*)$   
; **this triton makes secondary d+t reaction to emit 10-17MeV neutrons**



- Even parity states:  $E_x = 2.186\text{MeV}(3+,0)$ ,  
 $3.563\text{MeV}(0+,1)$ ,  $4.31\text{MeV}(2+,0)$ ,  
 $5.31\text{MeV}(2+,1)$ ,  $5.65\text{MeV}(1+,0)$ ,  
 $15.8\text{MeV}(3+,0)$
- 2-1)  $4D \rightarrow {}^6\text{Li}(2.186) + d + 23.11\text{MeV}$   
 $\text{KE} = 5.77 \quad \text{KE} = 17.3$   
 ${}^6\text{Li}(2.186\text{MeV}): \text{KE} = 5.77\text{MeV}:$   
 $\rightarrow {}^4\text{He}(3.6-4.1\text{MeV}) + d(1.6-2.4\text{MeV})$

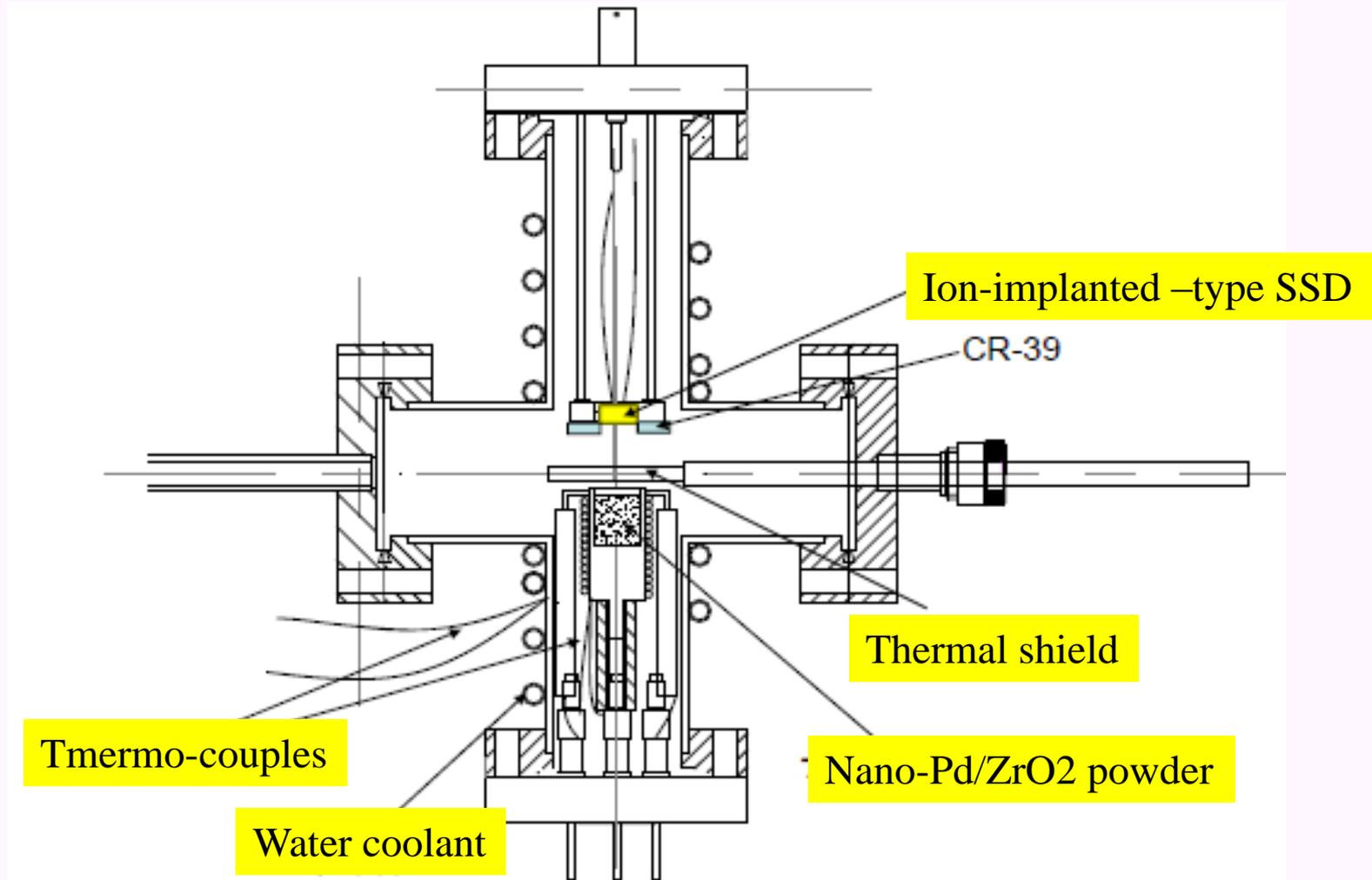
# CP Spectra by 4D/TSC; Predicted

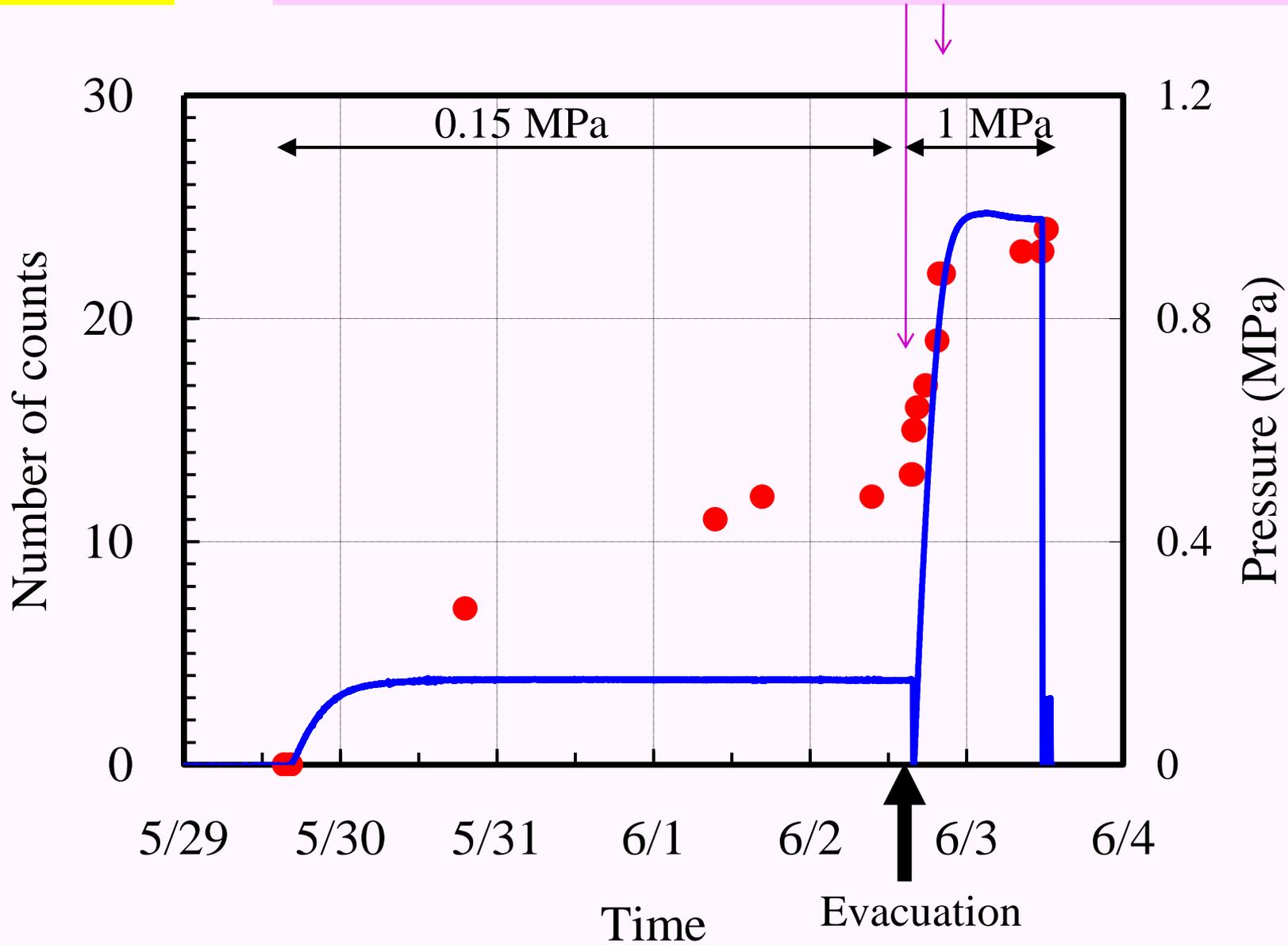
- $^4\text{He}$ : **0.046, 1.52, 3.6-4.1, 2.9-4.3, 2.6-4.5, 2.1-4.6, 1.9-4.7, 4.0-5.6, 5.75, 7.9, 9.95, 11.9, 12.8, 13.69, 23.8** (MeV)
- Triton: **1.8-3.4, 10.2-10.6** (MeV)
- Deuteron: **0.9, 1.6-2.4, 0.2-2.6, 1.9-3.6, 0.9-4.2, 1.1-4.4, 5.95, 8.0-11.1, 15.9** (MeV)
- Proton: **0.6-2.2, 3.5-3.9** (MeV)

**Purple** values are by odd spin-parity of  $^8\text{Be}^*$  ( $E_x=47.6\text{MeV}$ )

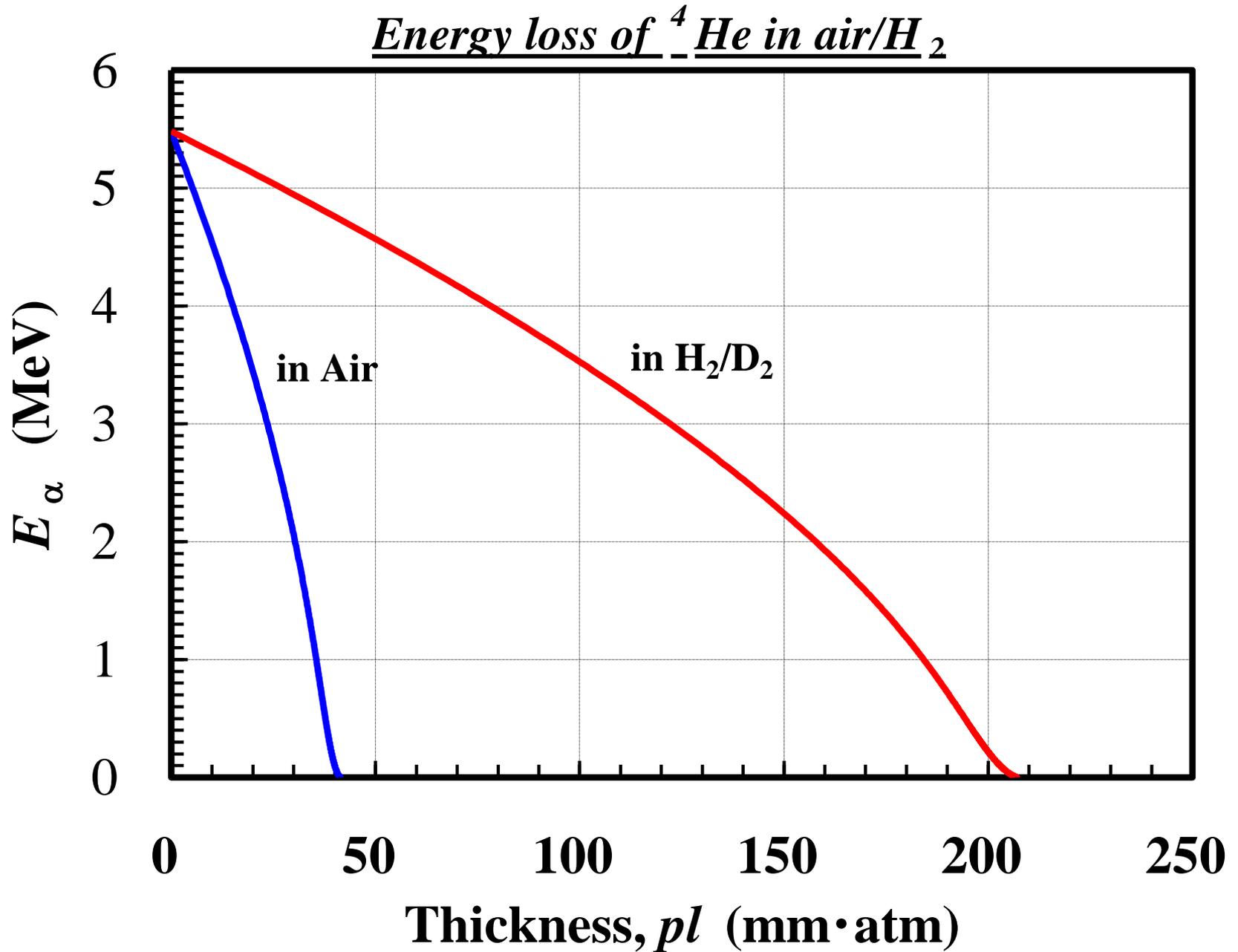
**Others** are S-wave Transitions

# System-B Experiment for Charged Particle Spectroscopy

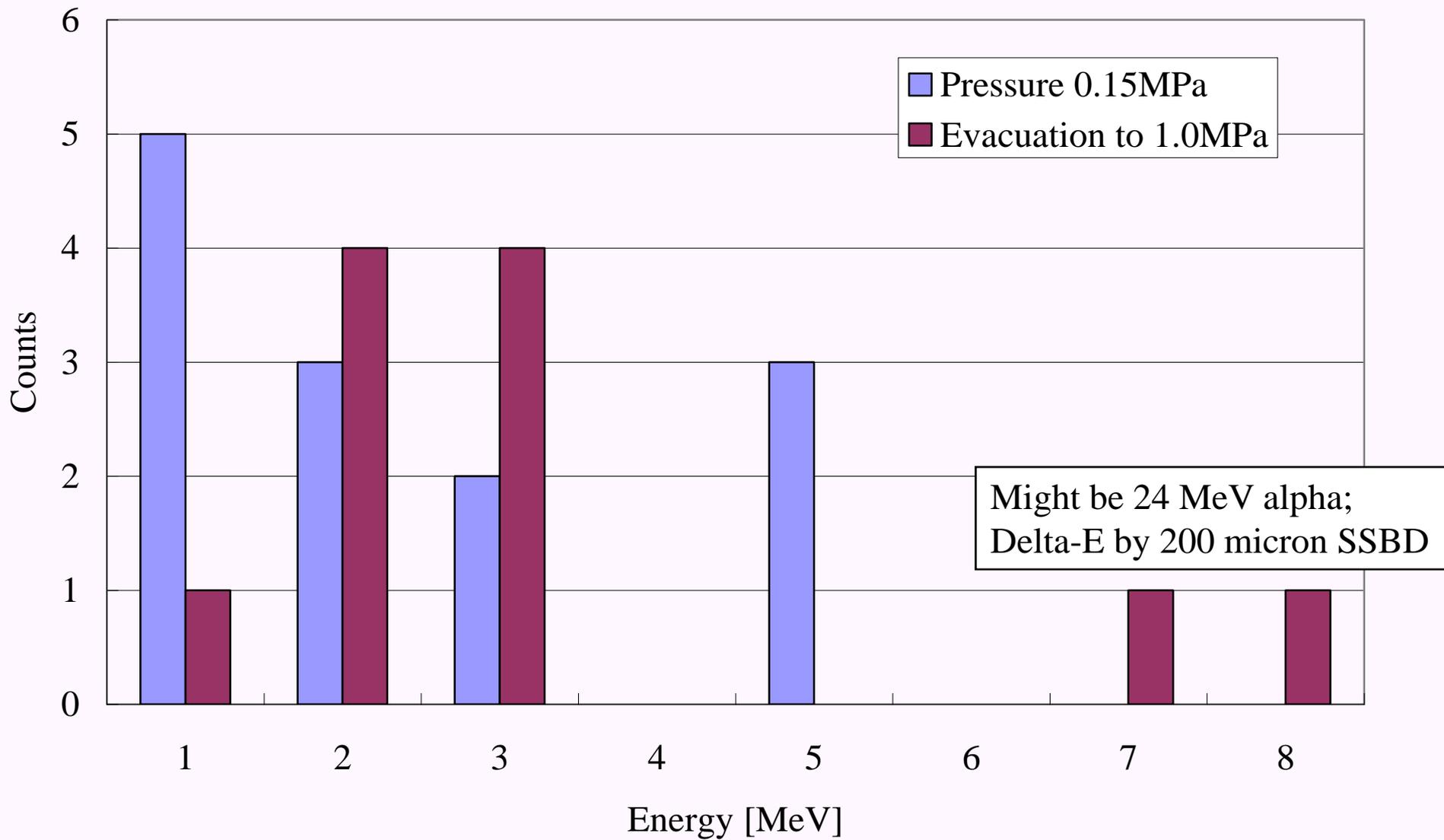


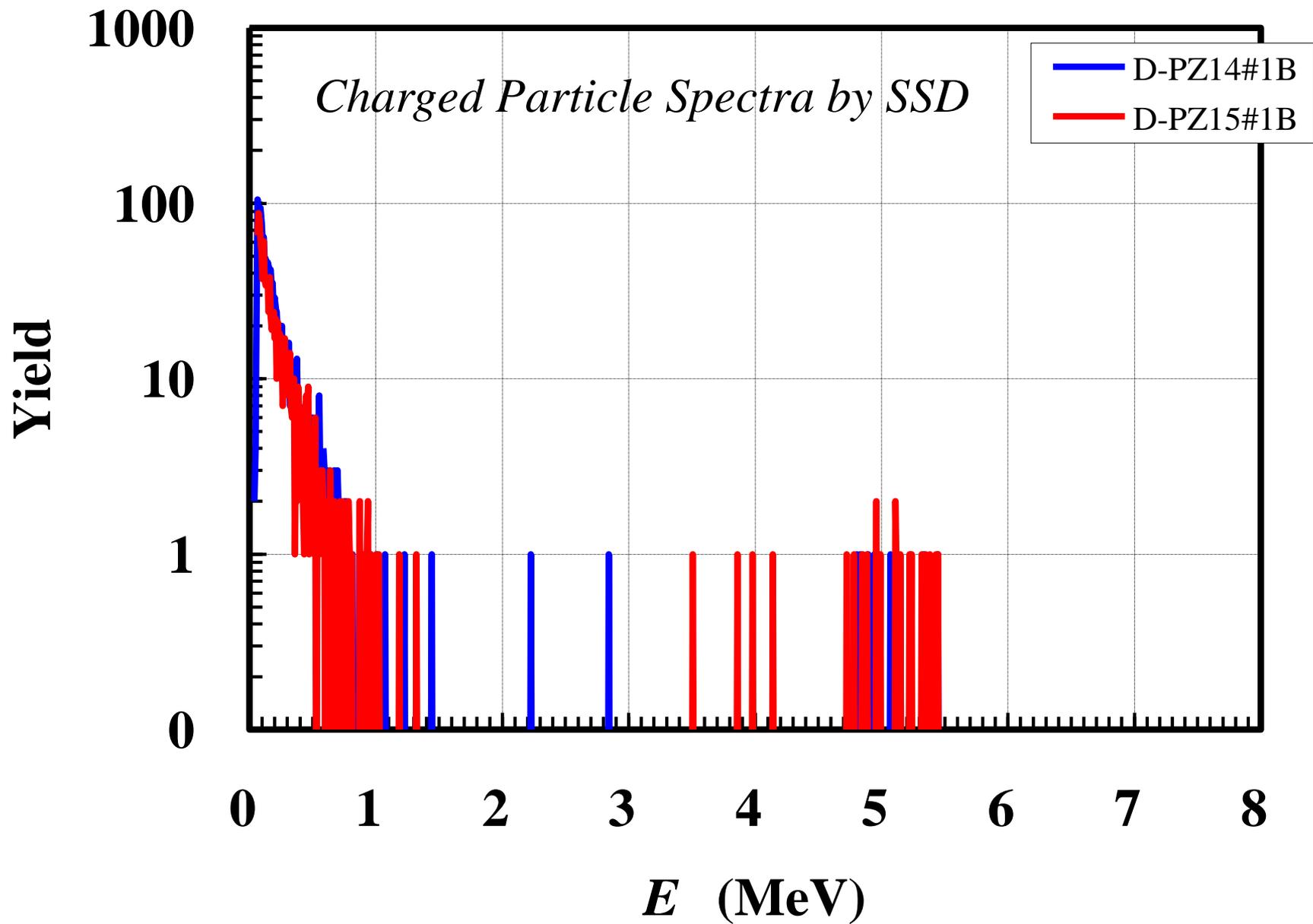


Evolution of total counts in “1-12 MeV “range of SSD detector, 5mm from sample



# Charged Particle Spectra by SSBD (200 micron depletion layer) for D-PZ1#3B





# Charged Particle Spectra by SSD

ICCF15

